



Emergence of quantum phases in novel materials

SUPERCONDUCTIVITY I

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BIBLIOGRAPHY

Collection of reviews

- Conventional SPC "Superconductivity" Edited by Parks. 1968.
- Conventional and unconventional SPC "Superconductivity" 2008 (Fe SPC not included)

"Introduction to Many-body physics" Piers Coleman

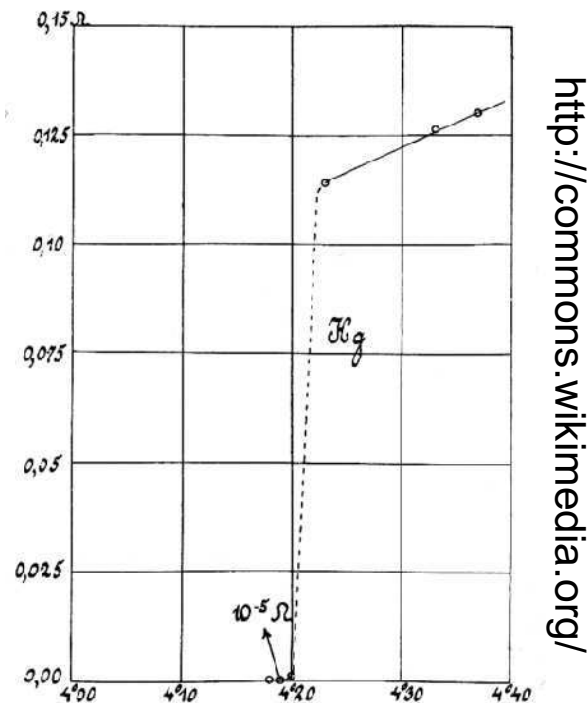
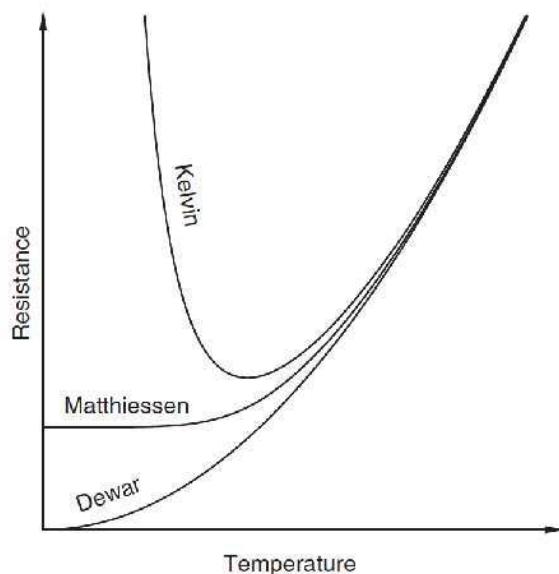
"Introduction to superconductivity" Tinkham

SPC history:

"Superconductivity: a very short introduction" S. Blundell

THE DISCOVERY (1911)

Resistivity in metals at low T at the turn of the 20th century

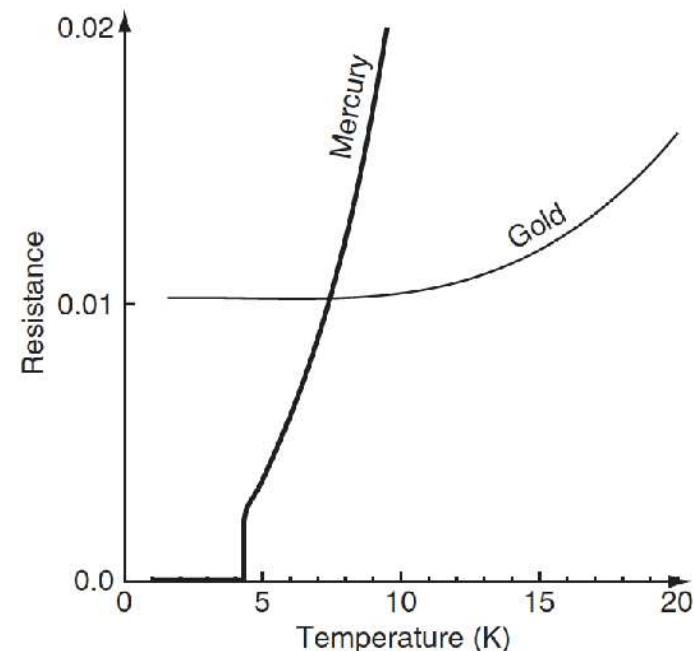
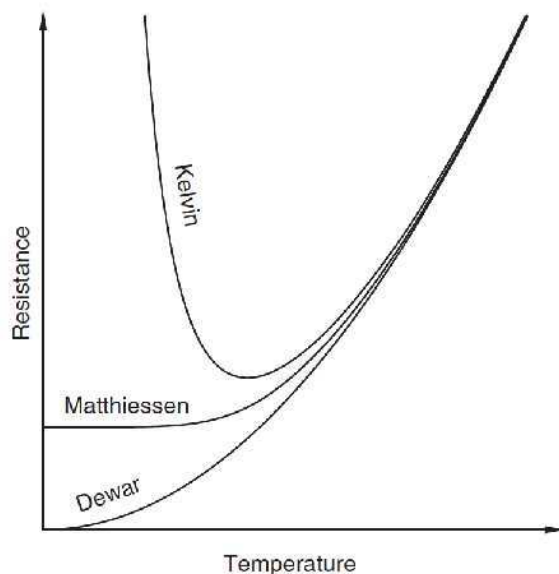


Heike Kamerlingh Onnes liquefied He:
1st person to measure low T resistivity

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Superconducting Elements

1

H

2

3

Li

4

Be

11

Na

12

Mg

19

K

20

Ca

21

Sc

22

Ti

23

V

24

Cr

25

Mn

26

Fe

27

Co

28

Ni

29

Cu

30

Zn

31

Ga

32

Ge

33

As

34

Se

35

Br

36

Kr

37

Rb

38

Sr

39

Y

40

Zr

41

Nb

42

Mo

43

Tc

44

Ru

45

Rh

46

Pd

47

Ag

48

Cd

49

In

50

Sn

51

Sb

52

Te

53

I

54

Xe

55

Cs

56

Ba

57

La

72

Hf

73

Ta

74

W

75

Re

76

Os

77

Ir

78

Pt

79

Au

80

Hg

81

Tl

82

Pb

83

Bi

84

Po

85

At

86

Rn

87

Fr

88

Ra

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Ac

104

Rf

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Ha

106

Sg

107

Bh

108

Hs

109

Mt

110

Ds

111

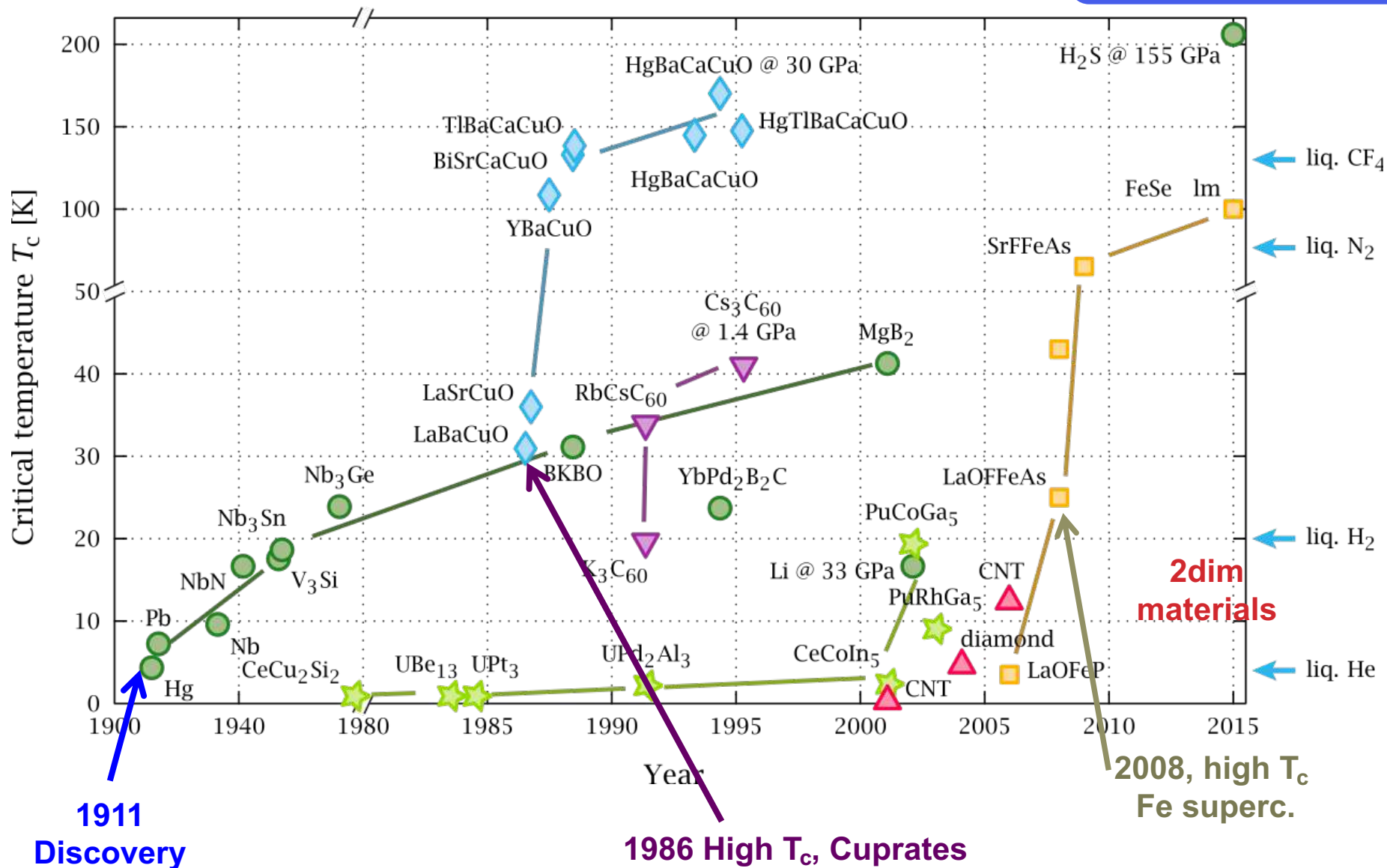
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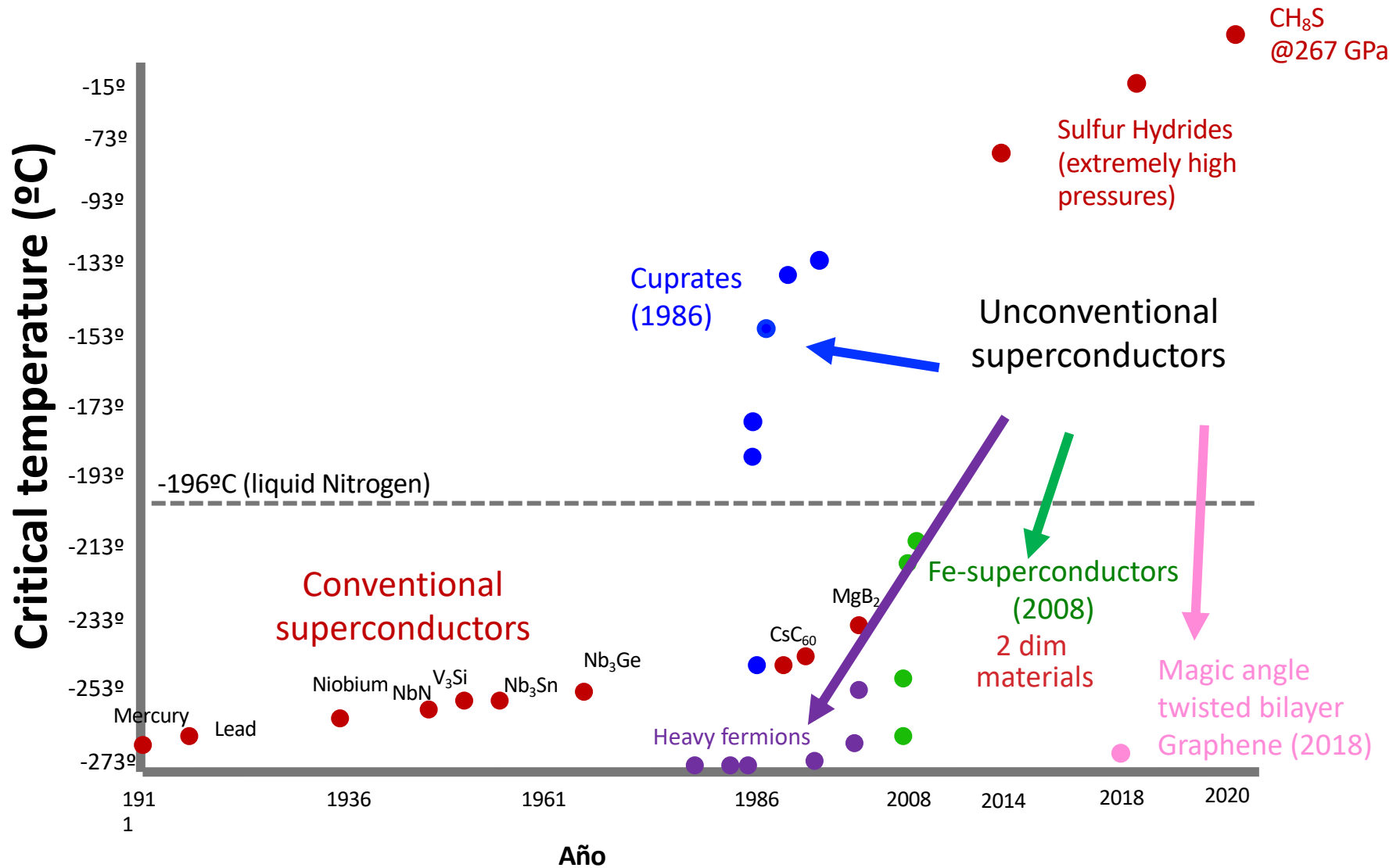
Uub

And many compounds!

https://www.webelements.com/periodicity/superconductivity_temp/



wikipedia



OUTLINE

- **Superconductivity I**
 - Properties (zero resistivity, Meissner effect)
 - Understanding (pairing, BCS, Ginzburg-Landau)
 - Electron-phonon interaction (conventional superconductivity)
- **Superconductivity II (unconventional superconductivity)**
 - What are the new issues.
 - What are (some of) the proposals.



A BIT OF (THE EARLY) HISTORY

1911: Discovery

1933: Meissner effect

1935: London Equations

1937: Superfluidity in ^4He

1950: Isotope effect

Electron-electron interaction mediated by phonons

Ginzburg-Landau

1953: Pippard's coherence length

1955: Idea of gap (Bardeen)

Bardeen-Pines effective interaction

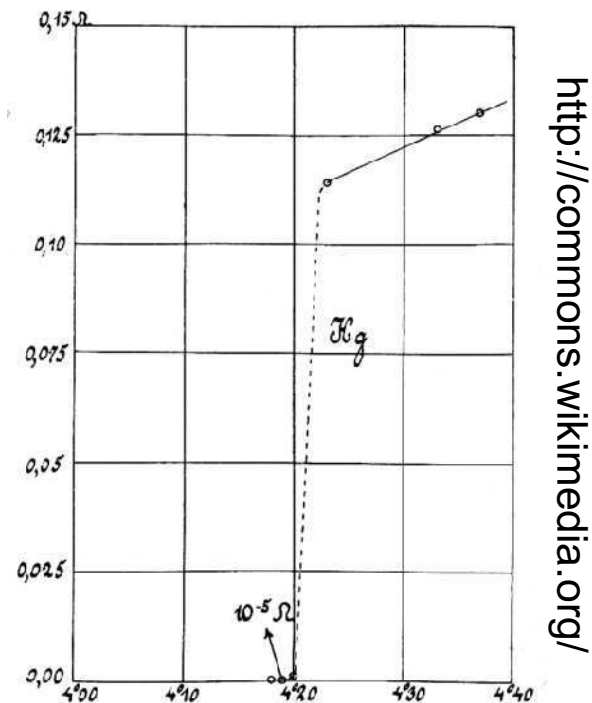
1956: Cooper pairs

Abrikosov extension of Ginzburg-Landau (vortices)

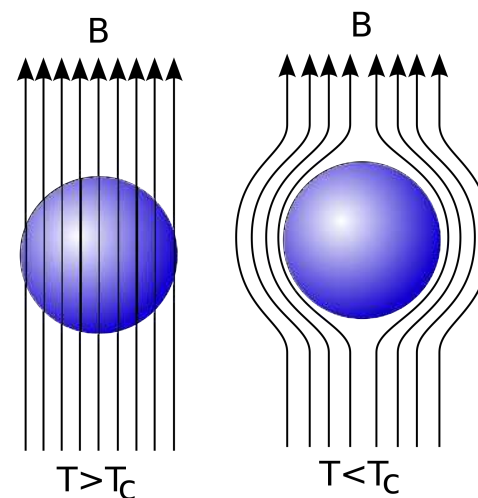
1957: BCS

1959: Gor'kov derived Ginzburg-Landau theory from BCS

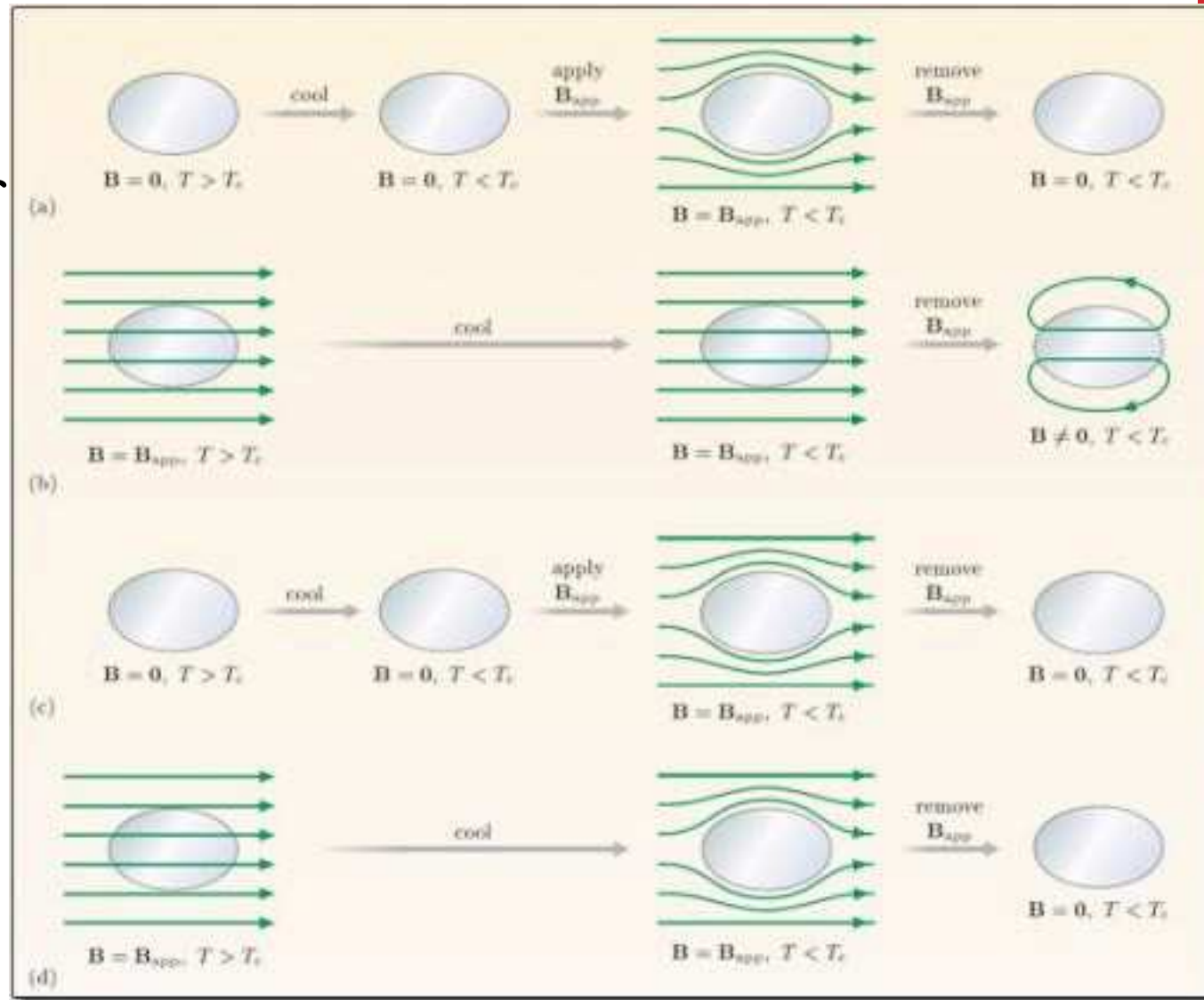
SUPERCONDUCTORS ARE MORE THAN PERFECT CONDUCTORS



New lead: The Meissner-Ochsenfeld effect (1933):
SUPERCONDUCTORS ARE PERFECT DIAMAGNETS



Perfect conductor



Superconductor

THE MEISSNER-OCHSENFELD EFFECT (1933)

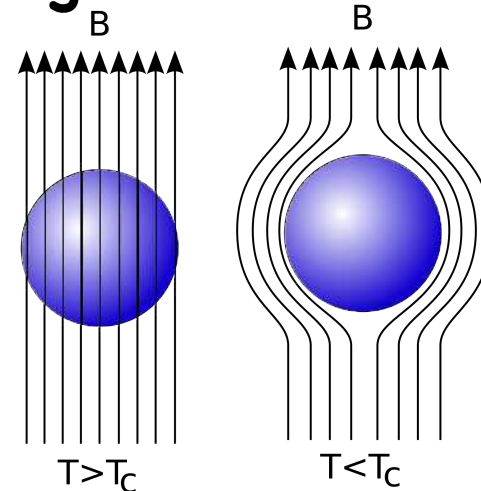
Superconductors **expel**
magnetic fields



www.icmm.csic.es/superconductividad

Note: this picture corresponds to the mixed state (see later)

Superconductors produce
supercurrents that
completely screen out the
magnetic field.



LONDON EQUATIONS (1935)

(phenomenological approach)

Ohm's law

(a relation between current and electric field)



London equations

**A new relation
between electric current and magnetic field
(inspiration: Meissner effect)**

LONDON EQUATIONS (1935)

(phenomenological approach)

Superconductivity is a macroscopic
quantum phenomenon

Long range order of the momentum vector:

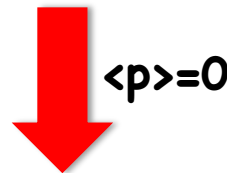
if Δp is very small, then Δx is very large
(uncertainty principle)

A *condensation* in momentum space
(= zero net momentum)
rather than in real space

LONDON EQUATIONS (1935)

Without applied magnetic field, the ground state has zero net momentum (condensation in momentum space). If $\langle \mathbf{p} \rangle = 0$ also under an applied field (the wave-function is "rigid"):

$$\vec{p} = m\vec{v} + e\vec{A}/c$$



$\langle \mathbf{p} \rangle = 0$

$$\vec{J}_s = n_s e \langle \vec{v}_s \rangle = \frac{-n_s e^2 \vec{A}}{mc} = \frac{-\vec{A}}{\Lambda c}$$

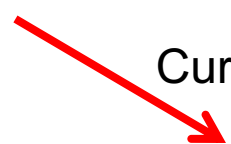
$$\Lambda = \frac{m}{n_s e^2}$$

n_s is the density of
superconducting electrons

LONDON EQUATIONS

$$\vec{J}_s = \frac{-\vec{A}}{\Lambda c}$$

Time derivative 

Curl 

PERFECT CONDUCTIVITY: an electric field accelerates the electrons (in Ohm's law, an electric field sustains their velocity against resistance).

$$\vec{E} = \frac{\partial}{\partial t} \left(\Lambda \vec{J}_s \right)$$

SUPERCURRENT SCREENS
MAGNETIC FIELD

$$\vec{h} = -c \nabla \times \left(\Lambda \vec{J}_s \right)$$

$$\Lambda = \frac{m}{n_s e^2}$$

LONDON EQUATIONS

Superconducting electrons do not suffer any scattering.
From Drude model for electrical conductivity

$$m \frac{d\vec{v}}{dt} = e\vec{E} - \cancel{\frac{m\vec{v}}{\tau}}$$



Accelerative supercurrent

LONDON EQUATIONS

SUPERCURRENT SCREENS
MAGNETIC FIELD

+MAXWELL EQUATION

$$\vec{h} = -c \nabla \times (\Lambda \vec{J}_s)$$

$$\nabla \times \vec{h} = 4\pi \vec{J}_s / c$$



$$\nabla^2 \vec{h} = \frac{\vec{h}}{\lambda^2}$$

$$\longrightarrow \vec{h}(r) = \vec{h}(0) e^{-r/\lambda}$$

$$\Lambda = \frac{m}{n_s e^2}$$

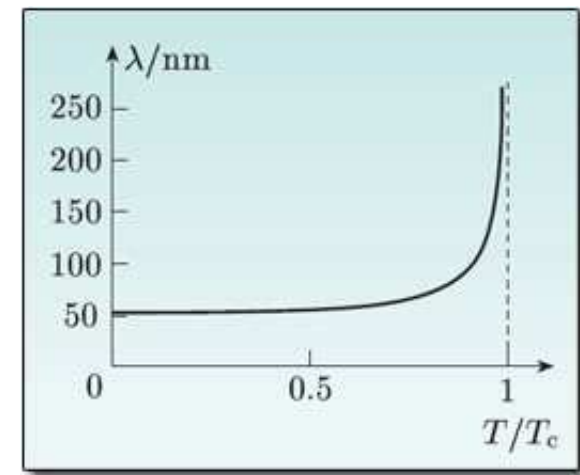
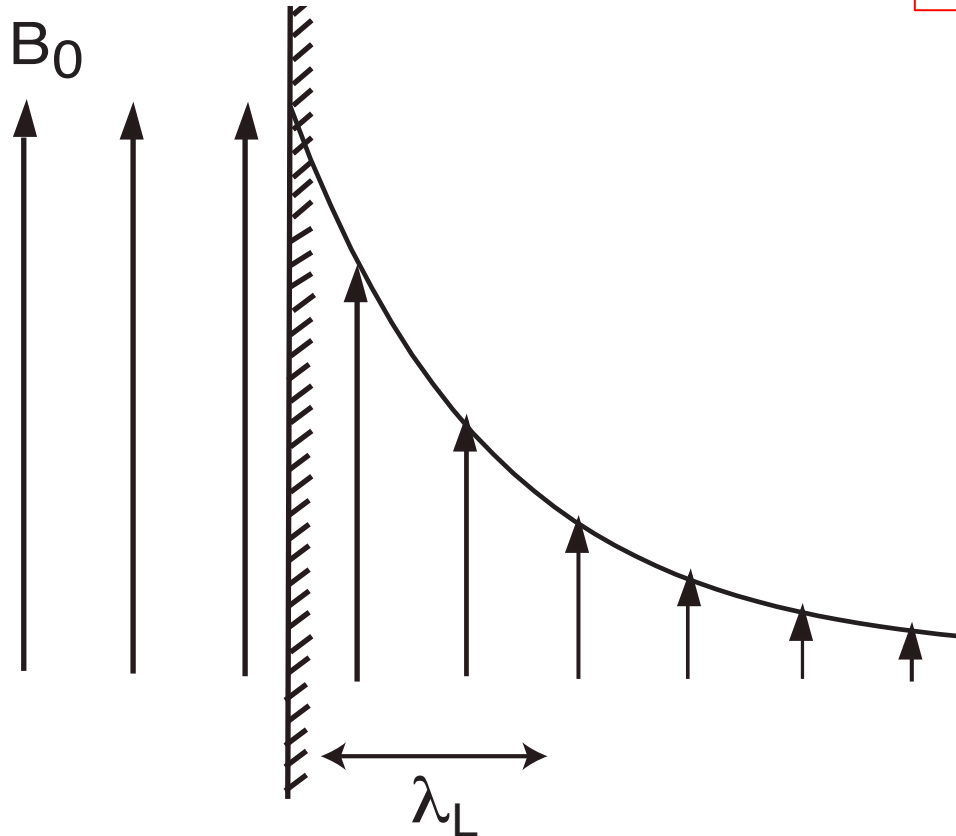
$$\lambda^2 = mc^2 / 4\pi n_s e^2$$

LONDON EQUATIONS

$$\vec{h}(r) = \vec{h}(0)e^{-r/\lambda}$$

LONDON PENETRATION LENGTH

$$\lambda = \left(\frac{mc^2}{4\pi n_s e^2} \right)^{1/2}$$



PIPPARD'S COHERENCE LENGTH

From the uncertainty principle:

Only e^- within $k_B T_c$ of E_F participate in superconductivity

$$\Delta p \approx \frac{k_B T_c}{v_F}$$

$$\Delta x \geq \frac{\hbar}{\Delta p} \approx \frac{\hbar v_F}{k_B T_c} \sim \xi_0$$

From a non-local generalization of the London equations

$$\xi_0 = 0.15 \frac{\hbar v_F}{k_B T_c}$$

ξ_0 : wave-function size

2 characteristic length scales: penetration and coherence lengths

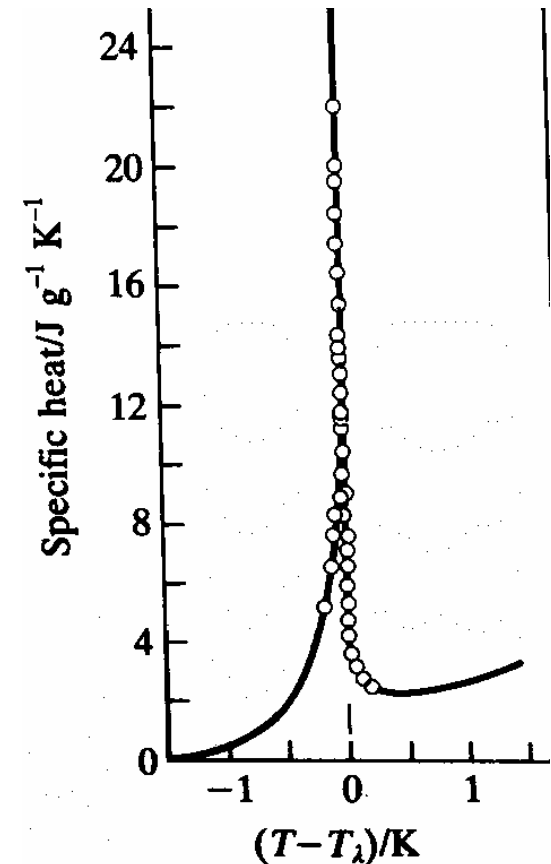
^4He SUPERFLUIDITY (1937)

^4He liquifies at 4.2K

(He I: normal quantum liquid)

At 2.17K, transition to
superfluid (He II). λ transition.

Viscosity=0.



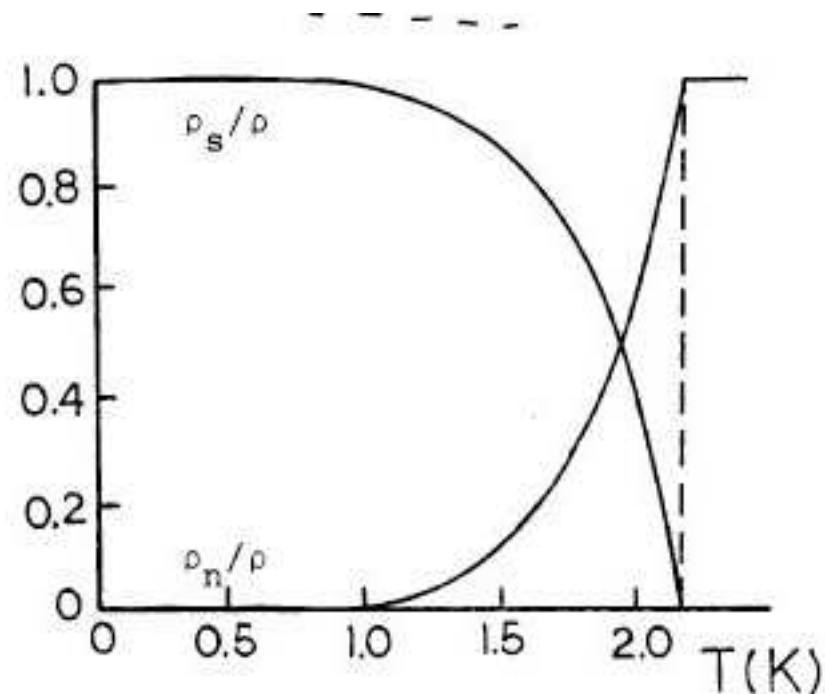
⁴He SUPERFLUIDITY (1937)

2 fluid model

- normal fluid
- superfluid

Relation to Bose
Einstein condensation:

In BEC: no interactions.
In superfluid there are
interactions (superfluid
density is reduced)





SUPERFLUIDITY & SUPERCONDUCTIVITY

A superconductor is a charged superfluid.

Note: What is condensing in the superconductor??

Electrons are fermions, not bosons!!

Before 1957, a microscopic theory was still missing.

LANDAU TRANSITION THEORY

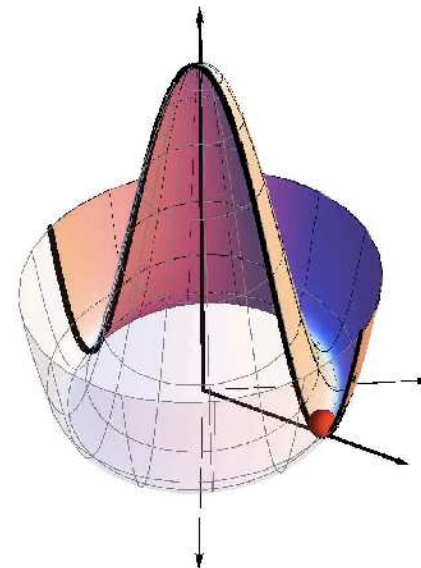
Macroscopic theory in terms of order parameters. Valid close to the critical point. The order parameter description is valid for lengths larger than the coherence length.

$$f[\psi] = r(\psi^* \psi) + \frac{u}{2}(\psi^* \psi)^2,$$

$$\psi \equiv \psi_1 + i\psi_2 \equiv |\psi|e^{i\phi}.$$

For $T < T_c$
$$\psi = \sqrt{\frac{|r|}{u}} e^{i\phi}$$

A well-defined phase breaks the U(1) continuous symmetry



GINZBURG-LANDAU (allows for inhomogeneous order parameters)

New gradient terms. For a superfluid (no charge):

$$f_{GL}[\psi, \nabla\psi] = \frac{\hbar^2}{2m} |\nabla\psi|^2 + r|\psi|^2 + \frac{u}{2} |\psi|^4$$

The rigidity of the order parameter is in the gradient term

$$\nabla\psi = (\nabla|\psi| + i\nabla\phi|\psi|)e^{i\phi}$$

$$f_{GL} = \overbrace{\frac{\hbar^2}{2m} |\psi|^2 (\nabla\phi)^2}^{\text{KE: phase rigidity}} + \overbrace{\left[\frac{\hbar^2}{2m} (\nabla|\psi|)^2 + r|\psi|^2 + \frac{u}{2} |\psi|^4 \right]}^{\text{amplitude fluctuations}}$$

Phase
stiffness

GINZBURG-LANDAU (allows for inhomogeneous order parameters)

New gradient terms. For a superfluid:

$$f_{GL}[\psi, \nabla\psi] = \frac{\hbar^2}{2m} |\nabla\psi|^2 + r|\psi|^2 + \frac{u}{2} |\psi|^4$$

New length scale related to the gradient term: **correlation length** (length scale of the **amplitude** fluctuations). At $T=0$, it is the Pippard's coherence length.

$$\xi(T) = \xi(0) \left| 1 - \frac{T}{T_c} \right|^{-1/2} ; \xi(0) = \sqrt{\frac{\hbar^2}{2maT_c}}$$

GINZBURG-LANDAU

Phase stiffness

$$f_{GL} = \frac{\rho\phi}{2}(\nabla\phi)^2 + \text{constant}$$

Amplitude fluctuations are confined to scales shorter than ξ . For longer lengths those terms are constant.

Calculate the current

$$\vec{J} = -i\frac{\hbar}{2m}(\hat{\psi}^\dagger \vec{\nabla} \hat{\psi} - \vec{\nabla} \hat{\psi}^\dagger \hat{\psi})$$

Assuming

$$\psi(x) = \sqrt{n_s(x)}e^{i\phi(x)}$$

$$\mathbf{J}_s = n_s \frac{\hbar}{m} \nabla \phi$$

Superfluid velocity

GINZBURG LANDAU (now with charge)

$$F[\psi, \mathbf{A}] = \int d^d x \left[\overbrace{\frac{\hbar^2}{2M} \left| \left(\nabla - \frac{ie^*}{\hbar} \mathbf{A} \right) \psi \right|^2}^{f_\psi} + r|\psi|^2 + \frac{u}{2}|\psi|^4 + \underbrace{\frac{(\nabla \times \mathbf{A})^2}{2\mu_0}}_{f_{EM}} \right]$$

The free energy is gauge invariant (any change in the phase of the order parameter can be compensated by a gauge transformation)

$$\psi(x) \rightarrow \psi(x) e^{i\alpha(x)}, \quad \mathbf{A} \rightarrow \mathbf{A} + \frac{\hbar}{e^*} \nabla \alpha$$

The electromagnetic part of the Free energy has an associated length: the London penetration length.

(Note e^* . $e^*=2e$ only after BCS theory)

GINZBURG LANDAU (now with charge)

$$\psi(x) \rightarrow \psi(x)e^{i\alpha(x)}, \quad \mathbf{A} \rightarrow \mathbf{A} + \frac{\hbar}{e^*} \nabla \alpha$$

By absorbing the phase of the order parameter, the phase stiffness appears as a mass term (gapped excitation).

ANDERSON-HIGGS MECHANISM

(in a neutral superfluid you have Goldstone (massless) modes)

GINZBURG LANDAU (now with charge)

$$F[\psi, \mathbf{A}] = \int d^d x \left[\overbrace{\frac{\hbar^2}{2M} \left| \left(\nabla - \frac{ie^*}{\hbar} \mathbf{A} \right) \psi \right|^2}^{f_\psi} + r|\psi|^2 + \frac{u}{2}|\psi|^4 + \underbrace{\frac{(\nabla \times \mathbf{A})^2}{2\mu_0}}_{f_{EM}} \right]$$

Now

$$\begin{aligned} \mathbf{J}(x) &= \frac{e^* \hbar}{M} |\psi|^2 \nabla \phi - \frac{e^{*2}}{M} |\psi|^2 \mathbf{A} \\ &= e^* n_s \overbrace{\frac{\hbar}{M} \left(\vec{\nabla} \phi - \frac{e^*}{\hbar} \mathbf{A} \right)}^{\mathbf{v}_s} = e^* n_s \mathbf{v}_s \end{aligned}$$

An external vector potential produces superflow

D.C. JOSEPHSON EFFECT (1962)

A zero voltage supercurrent should flow between two superconducting electrodes connected by a “weak link”.

$$I_s = I_c \sin \Delta\varphi$$

Critical current
at the junction

Difference in the
phase of the
Ginzburg-Landau
wave-functions

A.C. JOSEPHSON EFFECT

An applied voltage leads to an oscillatory current

B. Josephson in Park's compilation

GINZBURG LANDAU (now with charge)

$$F[\psi, \mathbf{A}] = \int d^d x \left[\overbrace{\frac{\hbar^2}{2M} \left| \left(\nabla - \frac{ie^*}{\hbar} \mathbf{A} \right) \psi \right|^2}^{f_\psi} + r|\psi|^2 + \frac{u}{2}|\psi|^4 + \underbrace{\frac{(\nabla \times \mathbf{A})^2}{2\mu_0}}_{f_{EM}} \right]$$

The length scale for $\mathbf{A}$ is the London penetration length λ .

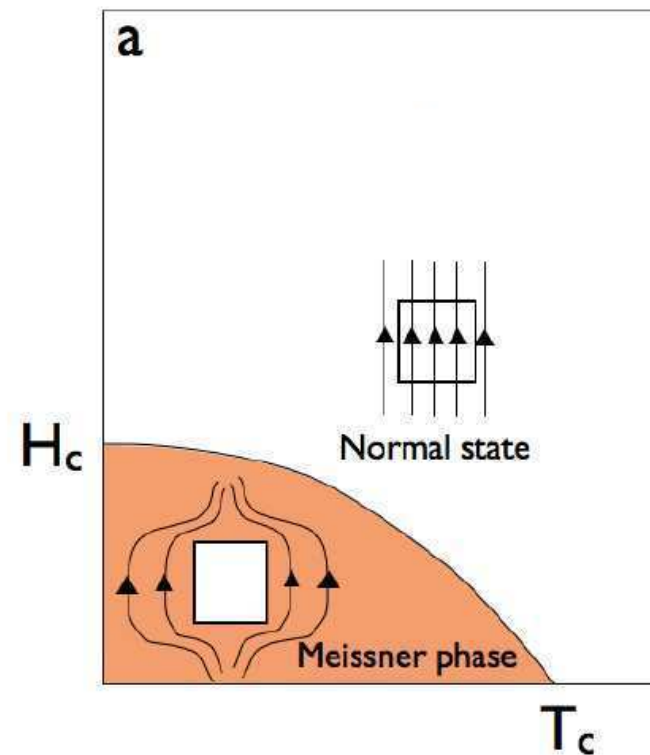
The ratio between the London penetration length and the coherence length is the **Ginzburg Landau parameter**.

CRITICAL FIELD H_c

There is a maximum value of magnetic field H_c that can be applied before superconductivity is destroyed. There is also a critical current I_c .

First superconductors discovered had a low H_c . This is bad news if you want to build magnets with superconducting cables.

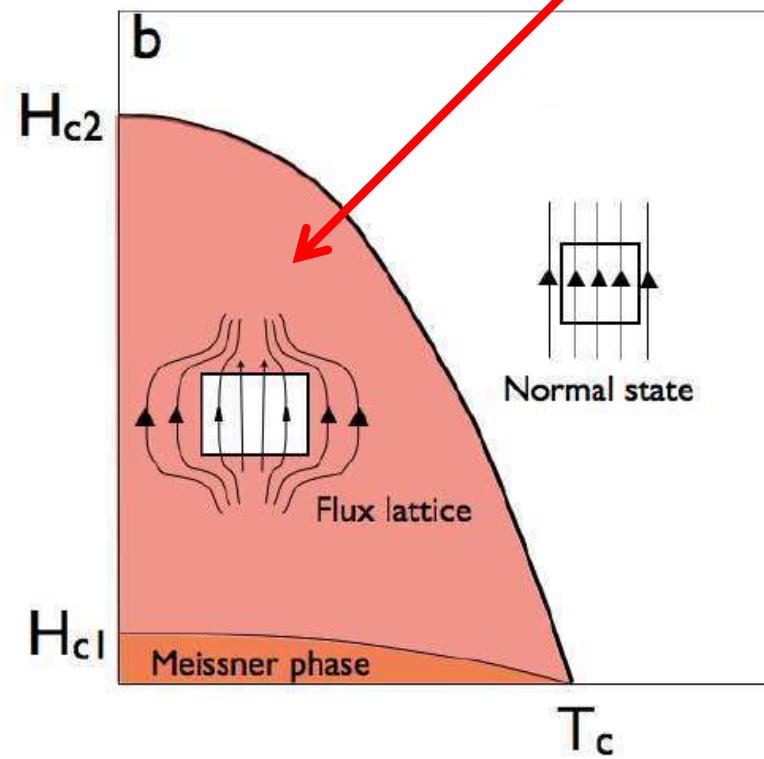
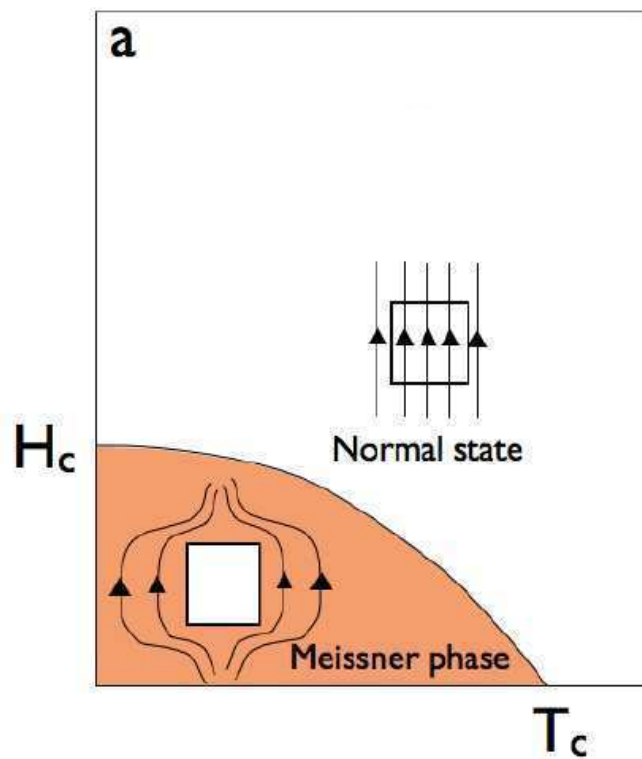
Some superconductors (alloys) didn't exclude the magnetic field completely and had much larger critical fields (1930's)



Coleman's book

CRITICAL FIELD H_c

Mixed state
or Shubnikov phase



Coleman's book

TYPE I/TYPE II SUPERCONDUCTORS

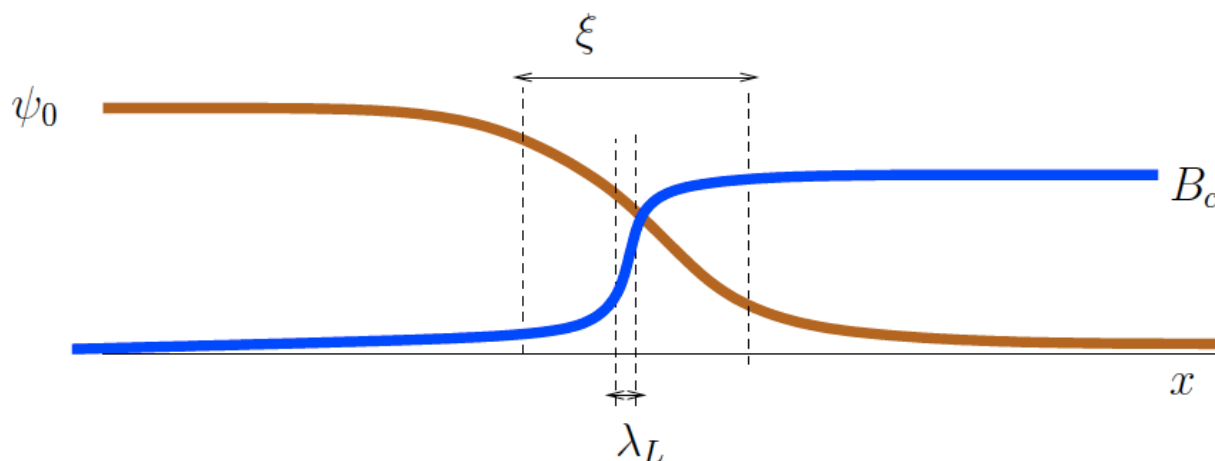
The first superconductors were all Type I ($\lambda \ll \xi$). Abrikosov explored the opposite possibility.

London penetration length

$$\lambda = \left(\frac{mc^2}{4\pi n_s e^2} \right)^{1/2}$$

The correlation length

$$\xi(T) = \xi(0) \left| 1 - \frac{T}{T_c} \right|^{-1/2}; \quad \xi(0) = \sqrt{\frac{\hbar^2}{2maT_c}}$$



TYPE I/TYPE II SUPERCONDUCTORS

The first superconductors were all Type I ($\lambda \ll \xi$). Abrikosov explored the opposite possibility.

London penetration length

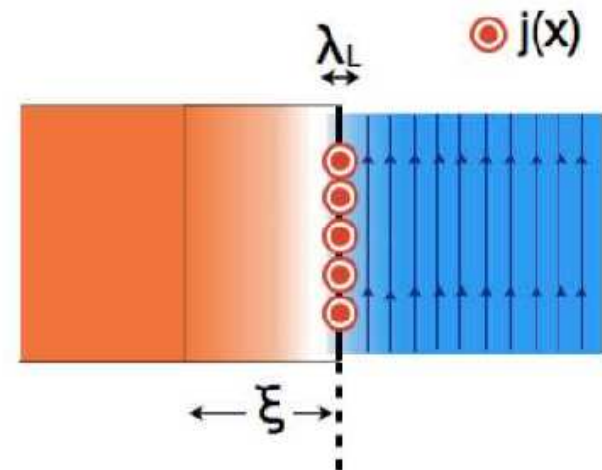
$$\lambda = \left(\frac{mc^2}{4\pi n_s e^2} \right)^{1/2}$$

London and Ginzburg-Landau considered $\lambda < \xi$.

The supercurrent that screens the applied magnetic field occurs in a thin layer.

The correlation length

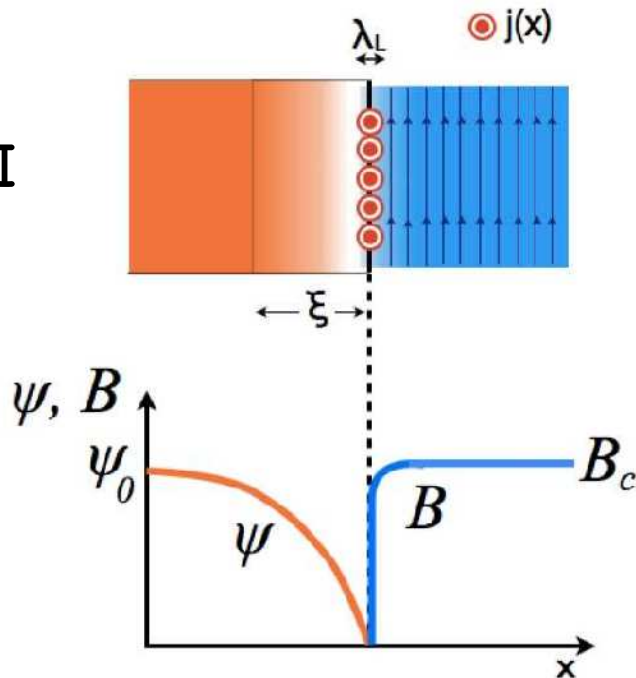
$$\xi(T) = \xi(0) \left| 1 - \frac{T}{T_c} \right|^{-1/2}; \quad \xi(0) = \sqrt{\frac{\hbar^2}{2maT_c}}$$



Coleman's book

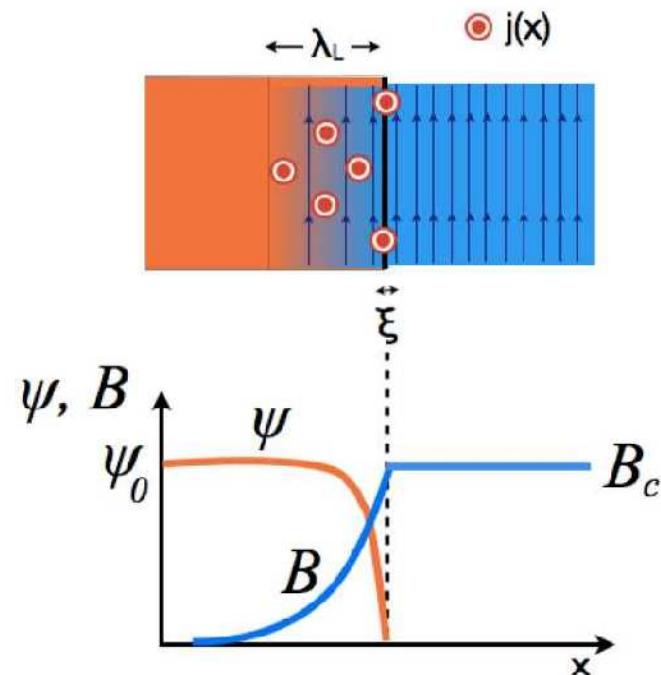
TYPE I/TYPE II SUPERCONDUCTORS

Type I



Modifying the wave-function costs a lot of energy. Not much energy gain by allowing H in. N/S interfaces are costly. At H_c there is a first order transition into the normal state.

Type II

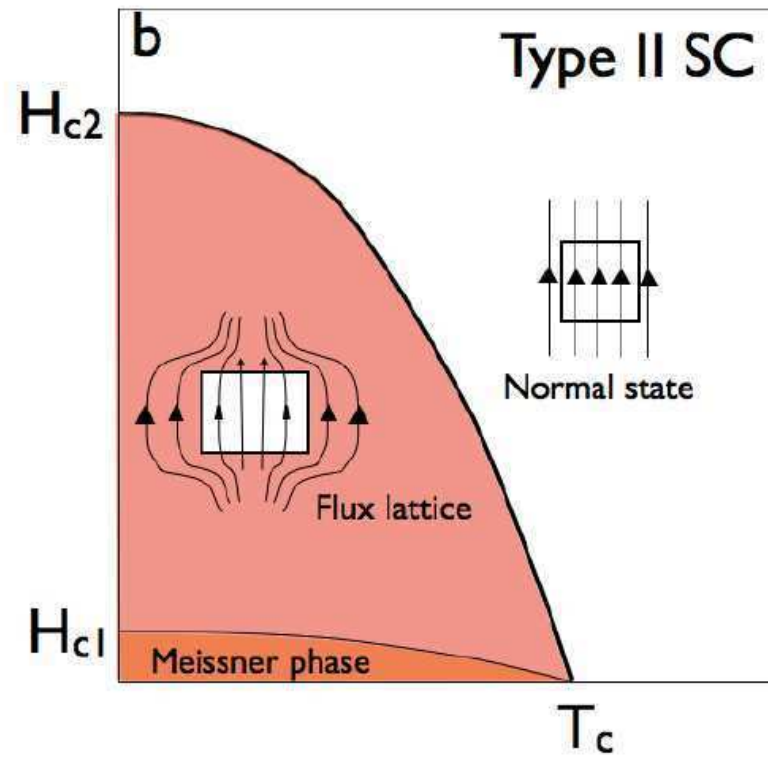
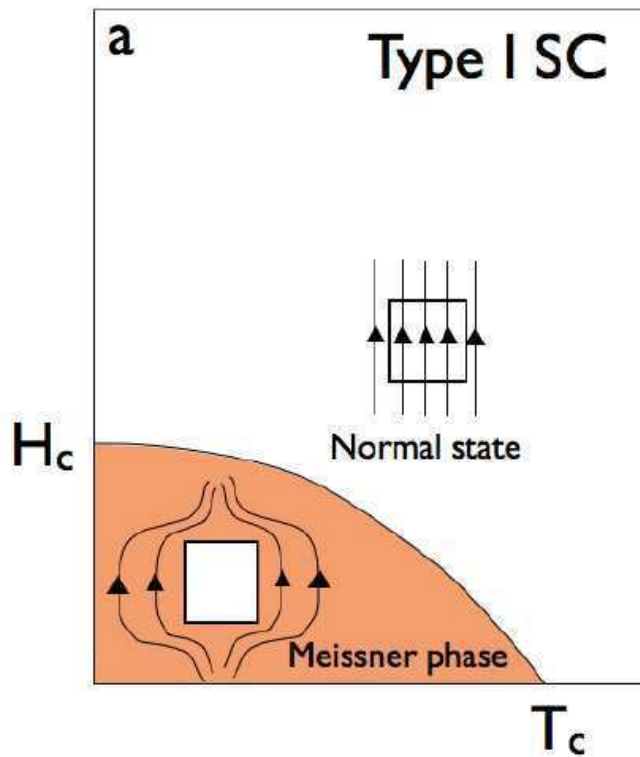


Modifying the wave-function doesn't cost too much. You gain energy by allowing the magnetic field in. N/S interfaces are favoured. Two critical fields. **Vortices.**

CRITICAL FIELD H_c

$$\frac{\lambda_L}{\xi} < \frac{1}{\sqrt{2}}$$

$$\frac{\lambda_L}{\xi} > \frac{1}{\sqrt{2}}$$





SOME EXAMPLES

Compound	T_c [K]	ξ [nm]	λ [nm]	$\kappa = \lambda / \xi$	
Al	1.18	1600	50	0.03	type-I
Pb	7.19	83	39	0.47	type-I
Nb	9.25	40	44	1.1	border
Nb ₃ Sn	18.2	3.6	124	34	type-II
YBa ₂ Cu ₃ O _{7-δ}	90	(1.5)	(130)	(87)	type-II

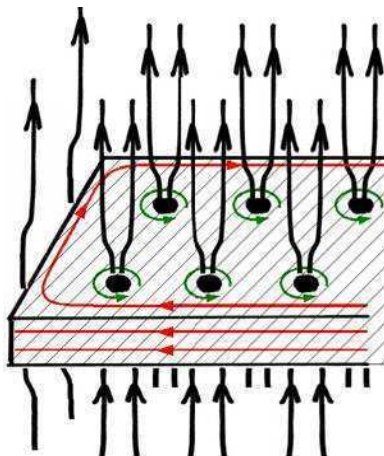
CRITICAL FIELDS IN TYPE II

$$\frac{\lambda_L}{\xi} > \frac{1}{\sqrt{2}}$$

$$B_{c2} = \frac{\phi_0}{2\pi\xi^2}, \quad B_{c1} = \frac{\phi_0}{4\pi\lambda^2} \quad \text{and} \quad B_{c1}B_{c2} = B_c^2$$

VORTICES

In type II superconductors, part of the field can go through the superconductor. A supercurrent circulates around the magnetic flux to screen it: Vortices.



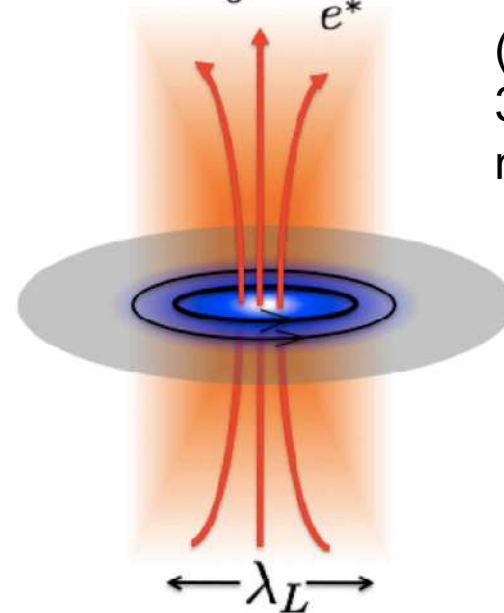
<http://www.supraconductivite.fr/>

Superconductivity is
suppressed at the vortex core

The flux is quantized

$$\Phi_0 = \frac{h}{e^*}$$

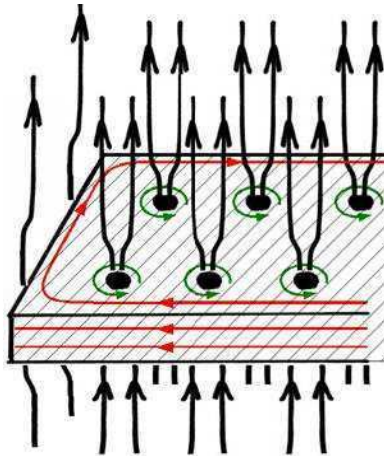
(vortex in
3dim XY
model)



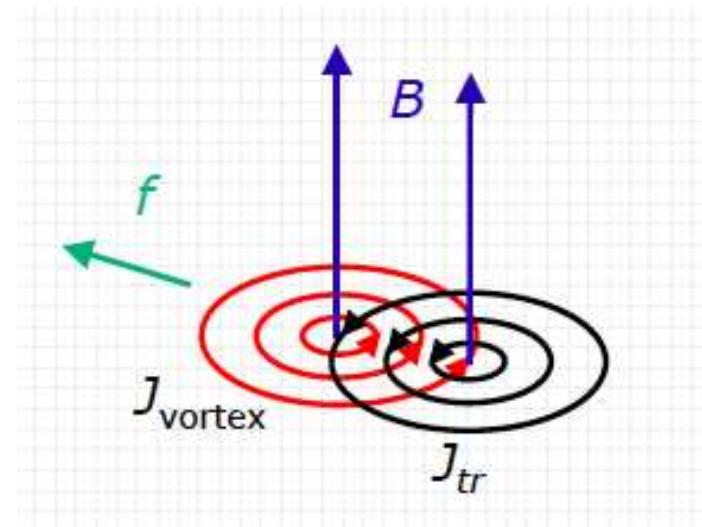
Coleman's book

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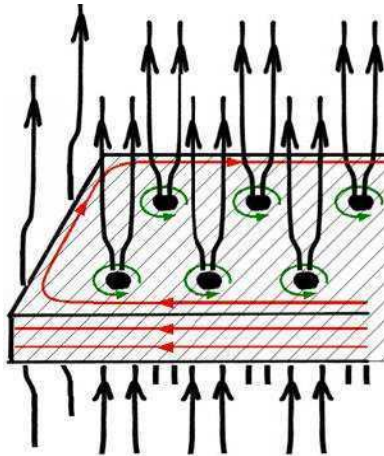
<http://www.supraconductivite.fr/>



Vortices repel each other (they have the same topological charge)

VORTICES

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<http://www.supraconductivite.fr/>

Pinning!!



www.icmm.csic.es/superconductividad

PINNING

www.icmm.csic.es/superconductividad



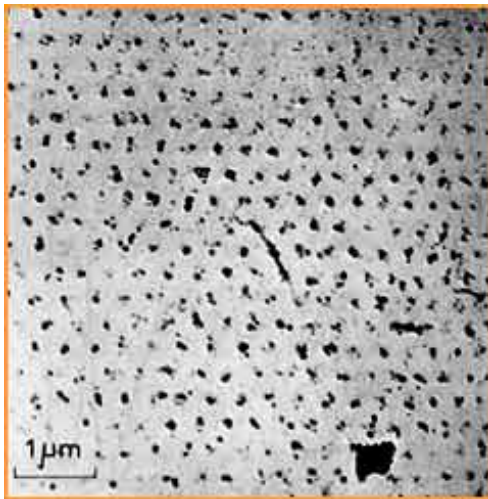


wp.icmm.csic.es/superconductividad/videos

VORTICES

In type II superconductors, part of the field can go through the superconductor. A supercurrent circulates around the magnetic flux to screen it: Vortices. From London eqs: the magnetic flux is quantized. Vortices order in a regular array.

First observation of an array of vortices



Supercurrent exert forces on vortices leading to dissipation. Impurities pin the vortices so they cannot move.

Physics Letters 24A, 526 (1967)



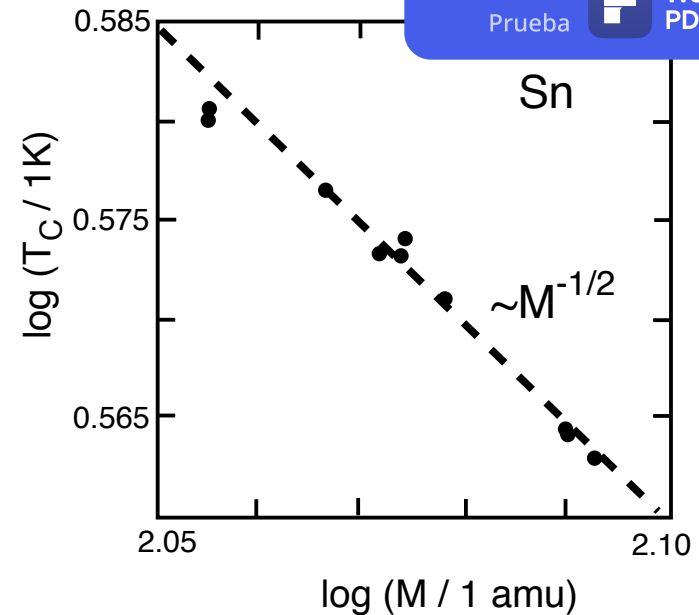
TOWARDS A MICROSCOPIC MODEL

ISOTOPE EFFECT

(C. Reynolds et al, E. Maxwell)

Evidence that superconductivity was related to electron-phonon interaction

$$T_c \propto \omega_D \approx \frac{1}{\sqrt{M}}$$



Independently, **Fröhlich** suggested that SPC occurs due to electron-lattice interaction. Also **Bardeen**, independently, as soon as he knew about the isotope effect.

$$V_{eff}(\mathbf{k}, \mathbf{k}') = -g_{\mathbf{k}-\mathbf{k}'}^2 \frac{2\omega_{\mathbf{k}-\mathbf{k}'}}{\omega_{\mathbf{k}-\mathbf{k}'}^2 - (\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}'})^2}$$

Formulation of the electron-phonon interaction. Leads to attractive energy.



THE IDEA OF A GAP

Bardeen proposed that **a gap** in the spectrum would lead to the rigidity of the wave-function. Some experiments were showing a sign of a gap (activated specific heat).

EFFECTIVE INTERACTION

Include electron-phonon interaction (must be important due to isotope effect). Phonon of frequency ω_q .

$$V_q + |M_q|^2 \left(\frac{1}{\hbar\omega - \hbar\omega_q} + \frac{1}{-\hbar\omega - \hbar\omega_q} \right) = V_q + \frac{2|M_q|^2}{\hbar} \frac{\omega_q}{\omega^2 - \omega_q^2}$$

Change in E
for the e-

M_q : electron-phonon matrix element. If electron-phonon interaction is too weak (good metals), no superconductivity arises

Overscreening: The effective electron-electron interaction can be negative (attractive)

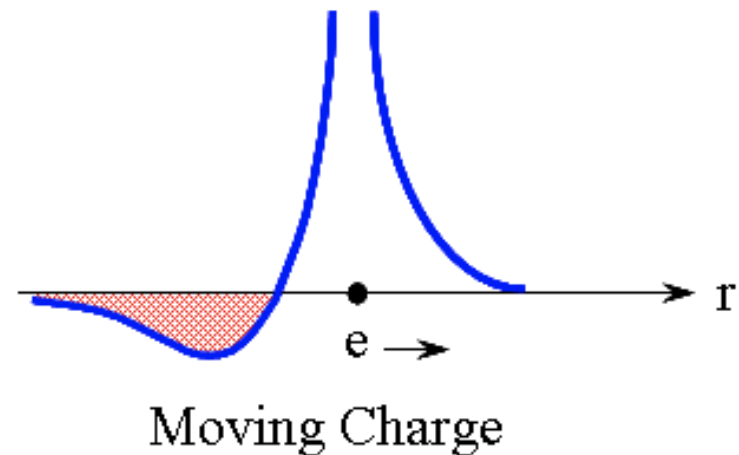
The dynamics of the phonons is much slower than the electrons'

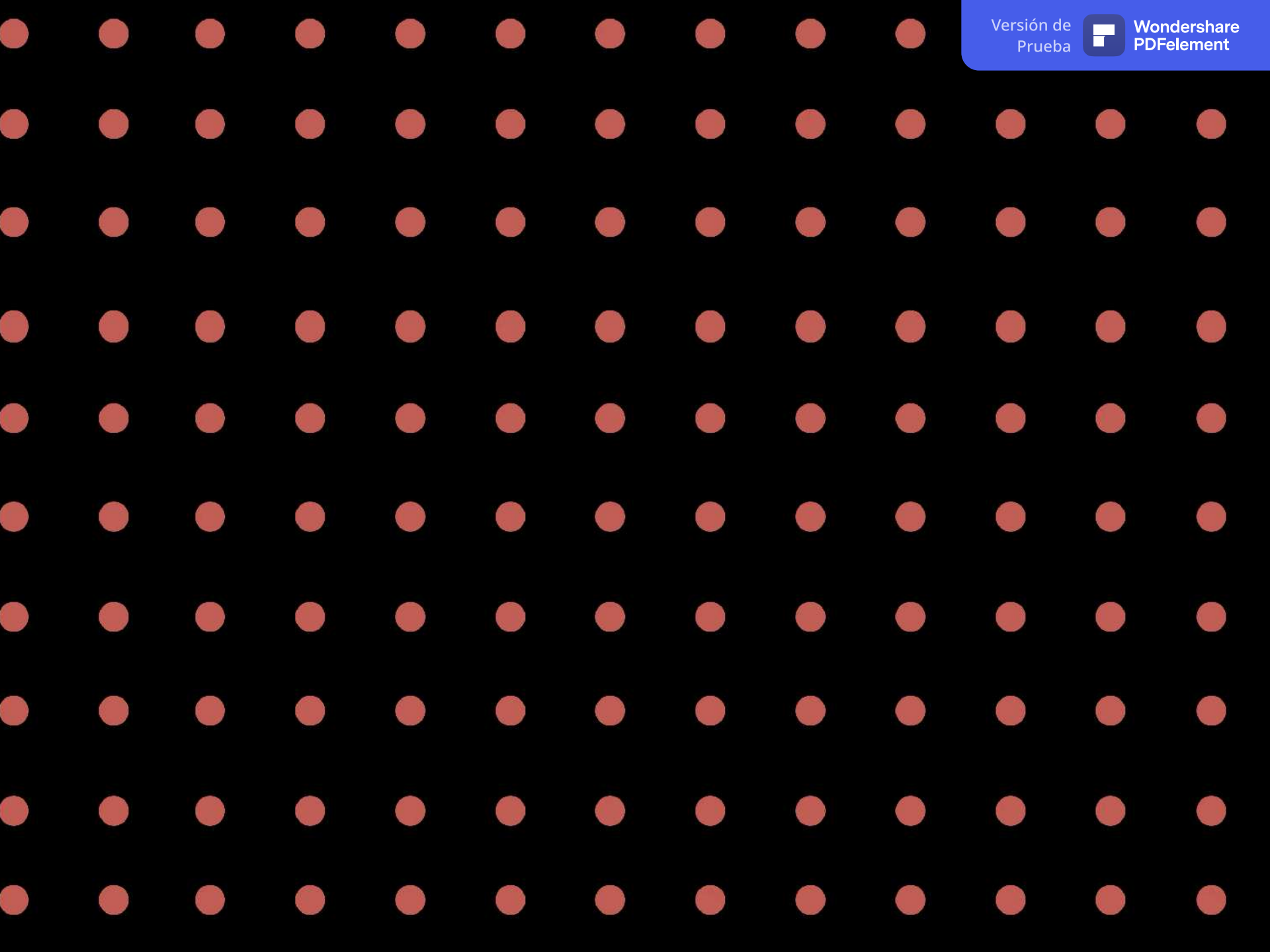
EFFECTIVE INTERACTION

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Attraction if $\omega < \omega_q$





BCS theory (1957)

Bardeen-Cooper-Schrieffer

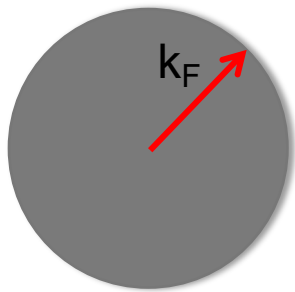
Interaction-pairs-wavefunction

Nobel prize 1972

COOPER PAIRS (1956)

Weak attractions can bind pairs of electrons.

Requires the existence of a Fermi sea (doesn't work for free particles)



Add two electrons on top of the Fermi sea

$$|\Psi\rangle = \Lambda^\dagger |FS\rangle$$

Assume zero momentum
and singlet pairing
(expected lowest energy)

With pair creation operator

$$\Lambda^\dagger = \sum_{\mathbf{k}} \phi_{\mathbf{k}} c_{\mathbf{k}\downarrow}^\dagger c_{-\mathbf{k}\uparrow}^\dagger$$

pair wavefunction

COOPER PAIRS (1956)

$$H = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \hat{V}$$

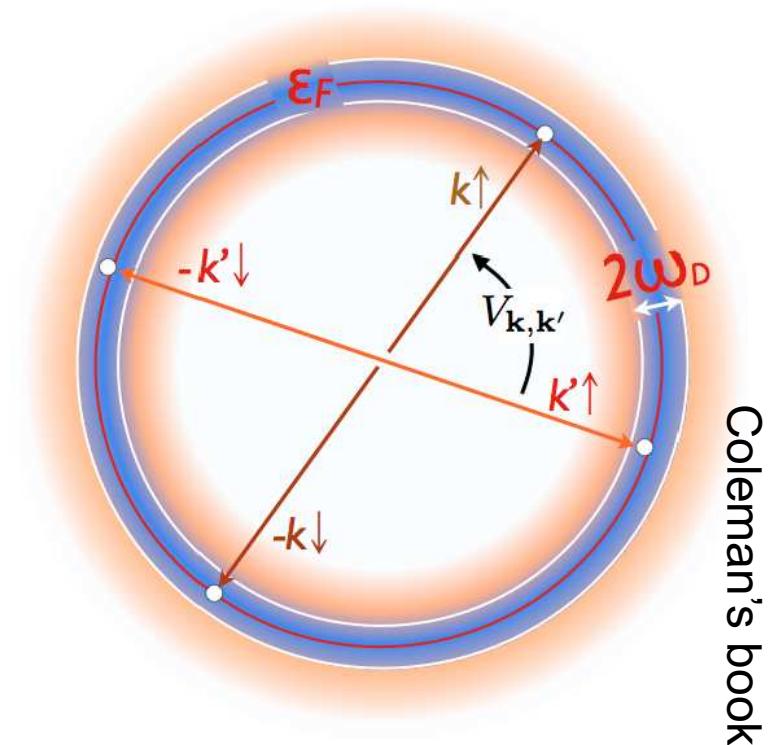
$$H|\Psi\rangle = \sum_{|\mathbf{k}| > k_F} 2\epsilon_{\mathbf{k}} \phi_{\mathbf{k}} |\mathbf{k}_P\rangle + \sum_{|\mathbf{k}|, |\mathbf{k}'| > k_F} |\mathbf{k}_P\rangle \langle \mathbf{k}_P | \hat{V} | \mathbf{k}'_P \rangle \phi_{\mathbf{k}'}.$$

$$E\phi_{\mathbf{k}} = 2\epsilon_{\mathbf{k}}\phi_{\mathbf{k}} + \sum_{|\mathbf{k}'| > k_F} \langle \mathbf{k}_P | \hat{V} | \mathbf{k}'_P \rangle \phi_{\mathbf{k}'}$$

COOPER PAIRS (1956)

Simplified model interaction

$$V_{\mathbf{k},\mathbf{k}'} = \begin{cases} -g_0/V & (|\epsilon_{\mathbf{k}}|, |\epsilon_{\mathbf{k}'}| < \omega_D) \\ 0 & \text{otherwise} \end{cases}$$



COOPER PAIRS (1956)

$$(E - 2\epsilon_{\mathbf{k}})\phi_{\mathbf{k}} = -\frac{g_0}{V} \sum_{0 < \epsilon_{\mathbf{k}'} < \omega_D} \phi_{\mathbf{k}'},$$

$$\phi_{\mathbf{k}} = -\frac{g_0/V}{E - 2\epsilon_{\mathbf{k}}} \sum_{0 < \epsilon_{\mathbf{k}'} < \omega_D} \phi_{\mathbf{k}'},$$

.....

$$E = -2\omega_D e^{-\frac{2}{g_0 N(0)}}.$$

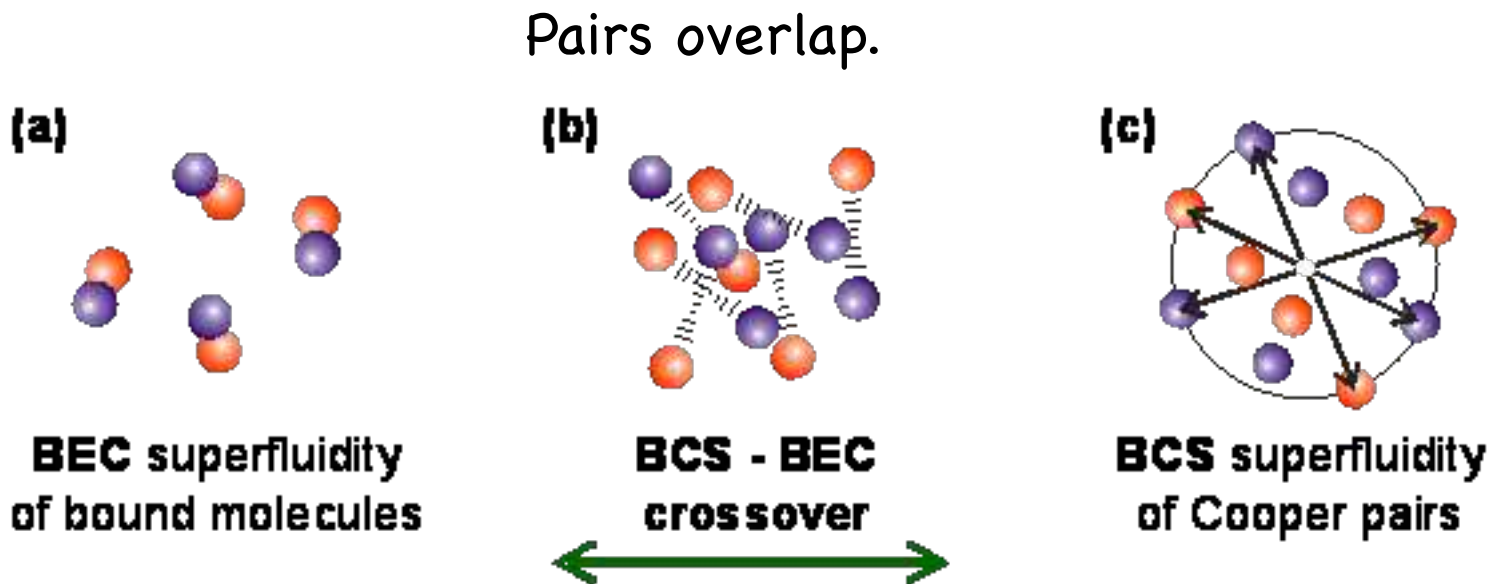
Fermi sea is unstable to the formation of Cooper pairs

COOPER PAIRS (1956)

The degeneracy of the Fermi sea $N(0)$ implies pairs form even for a very weak pairing interaction

$$E = -2\omega_D e^{-\frac{2}{g_0 N(0)}}.$$

The Cooper pair has a small smearing in k space $\rightarrow$ a large extension (coherence length) in real space (10^4 Å for Al).

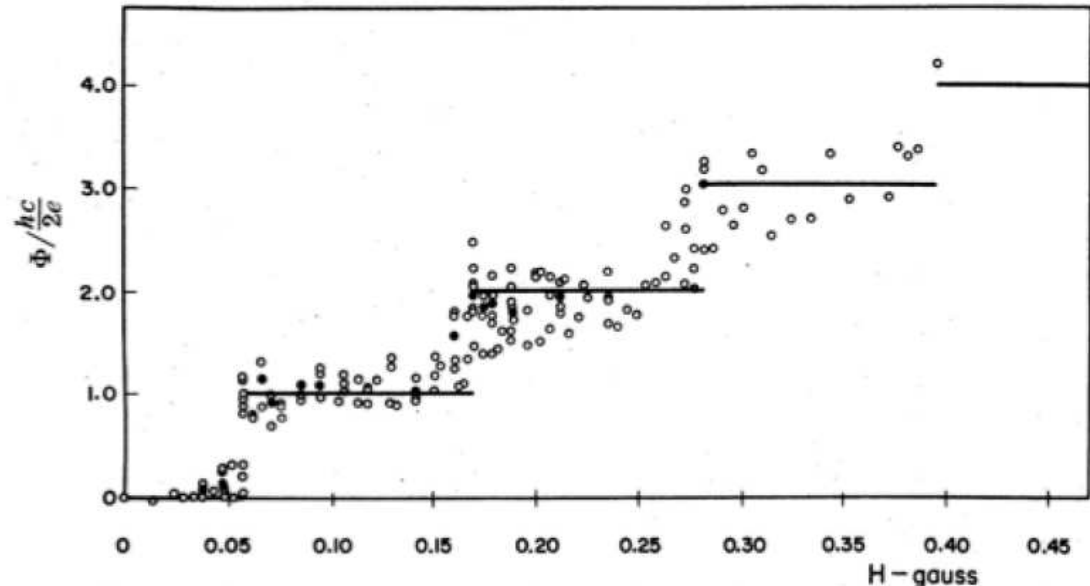


OBSERVATION OF COOPER PAIRS

The vortex magnetic flux is quantized (London, 1950)

$$\Phi_0 = \frac{h}{e^*} \equiv \frac{h}{2e}.$$

Magnetic flux in
a cylinder
provides a **proof**
for the charge of
the Cooper pair.



Phys. Rev. Lett. 7, 43–46 (1961)

SCHRIEFFER: WAVE-FUNCTION

Coherent superposition of Cooper pair operators

$$|\psi_{BCS}\rangle = \exp[\Lambda^\dagger] |0\rangle, \quad \Lambda^\dagger = \sum_{\mathbf{k}} \phi_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger$$

$$|\psi_{BCS}\rangle = \prod_{\mathbf{k}} \exp[\phi_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger] |0\rangle = \prod_{\mathbf{k}} (1 + \phi_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger) |0\rangle.$$

And the BCS Hamiltonian

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \mathbf{k}'} V_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow}$$

GAP EQUATION

Start from pairing Hamiltonian

$$H = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \mathbf{k}'} V_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow}$$

Mean field approximation

$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \langle c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow} \rangle$$

$$H = \sum_{k\sigma} \epsilon_k c_{k\sigma}^{\dagger} c_{k\sigma} - \sum_k \left(\Delta_k c_{k\uparrow}^{\dagger} c_{-k\downarrow}^{\dagger} + \Delta_k^* c_{-k\downarrow} c_{k\uparrow} \right)$$

Tinkham's book

GAP EQUATION

Diagonalize (Bogoliubov transformation)

$$\alpha_{k\uparrow}^\dagger = c_{k\uparrow}^\dagger u_k + c_{-k\downarrow} v_k$$

$$\alpha_{-k\downarrow} = c_{-k\downarrow} u_k^* - c_{k\uparrow}^\dagger v_k^*$$

$$H = \sum_{k\sigma} E_k \alpha_{k\sigma}^\dagger \alpha_{k\sigma}$$

$$E_{\mathbf{k}} = \sqrt{\epsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}$$

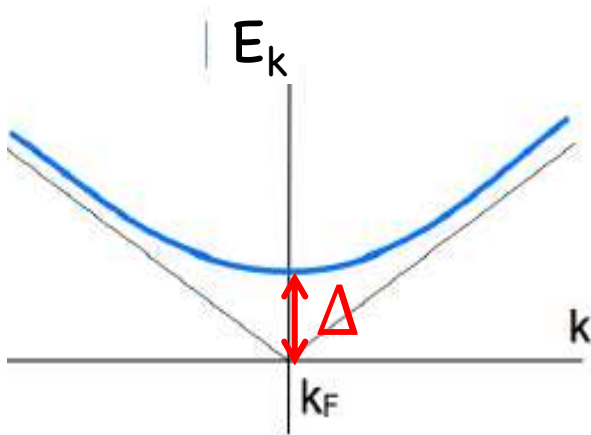
The self consistent eq for the gap is:

$$\Delta_{\mathbf{k}} = -\frac{1}{2} \sum_{\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{\sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2}} V_{\mathbf{k},\mathbf{k}'}$$

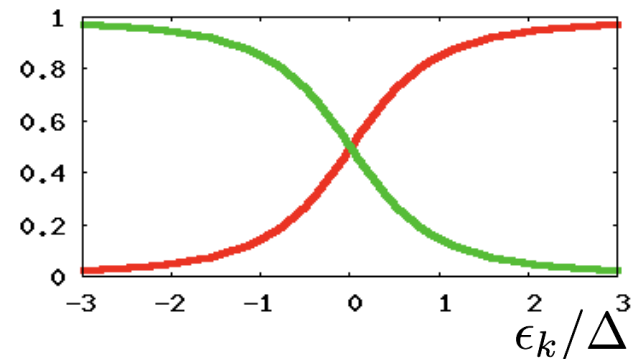
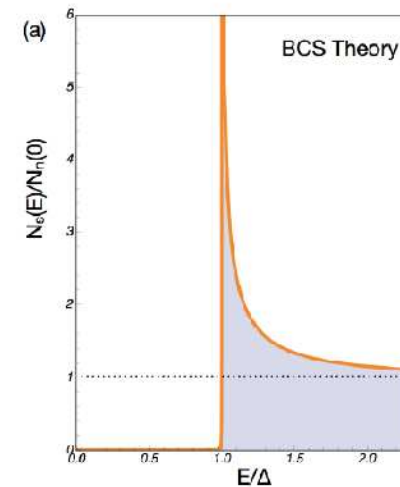
Tinkham's book

BCS THEORY

$$E_{\mathbf{k}} = \sqrt{\epsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} \quad \text{Excitation energy of quasiparticles}$$



$$\alpha_{k\uparrow}^\dagger = c_{k\uparrow}^\dagger u_k + c_{-k\downarrow} v_k$$



Coleman's book

BCS THEORY

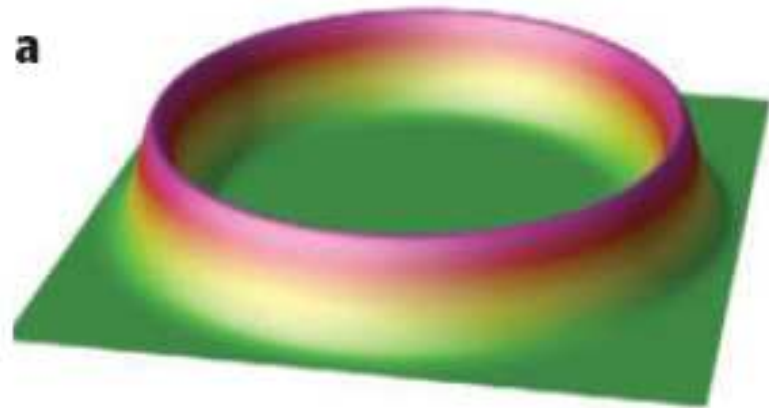
Explicit equation for Δ
($T=0$)

$$\Delta_{\mathbf{k}} = -\frac{1}{2} \sum_{\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{\sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2}} V_{\mathbf{k},\mathbf{k}'}$$

Within BCS $V_{\mathbf{k}\mathbf{k}'} = -V$ within $\hbar\omega_D$ of E_F
Then $\Delta_{\mathbf{k}\mathbf{k}'} = \Delta$ (isotropic)

$$\Delta \approx 2\hbar\omega_D e^{-1/N(0)V}$$

Isotropic pairing function: s-wave



BCS THEORY

For finite T

$$\Delta_{\mathbf{k}} = -\frac{1}{2} \sum_{\mathbf{k}'} V_{\mathbf{k},\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{\sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2}} \tanh \left(\frac{\beta}{2} \sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2} \right)$$

$$k_B T_c = 1.13 \hbar \omega_D \exp[-1/V N(E_F)]$$

$$\Delta \approx 2 \hbar \omega_D e^{-1/N(0)V}$$

$$\frac{\Delta(0)}{k_B T_c} = 2/1.33 = 1.764$$

Tinkham's book

BCS THEORY

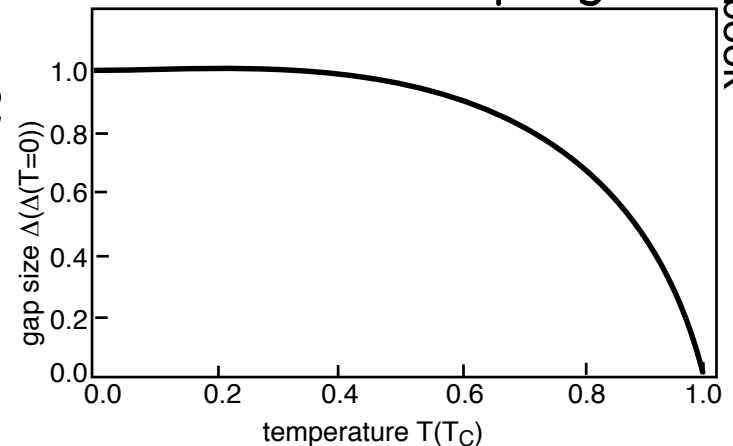
For finite

$$\Delta_{\mathbf{k}}^T = -\frac{1}{2} \sum_{\mathbf{k}'} V_{\mathbf{k},\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{\sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2}} \tanh \left(\frac{\beta}{2} \sqrt{\epsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2} \right)$$

For weak coupling superconductors

$$\frac{\Delta(T)}{\Delta(0)} \sim 1.76 \left(1 - \frac{T}{T_c} \right)^{1/2}$$

Universal $\Delta(T)$ curve
in the weak coupling limit



Tinkham's book

BCS THEORY

Condensation energy=
energy of superconducting state - energy of normal state

$$\Delta W = -\frac{1}{2}N(0)\Delta^2$$

The magnetic critical field which destroys superconductivity

$$\frac{1}{2}N(0)\Delta^2 = \frac{H_c^2}{8\pi}$$

MIGDAL-ELIASHBERG

(INCLUSION OF RETARDATION EFFECTS)

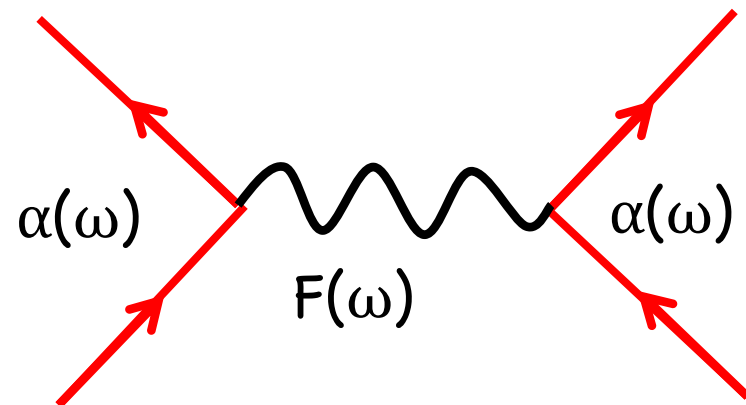
BCS theory included retardation effects in a minimal way by introducing an energy cut-off: the Debye frequency.

Eliashberg treated the electron-phonon interaction including correctly the retardation effects.

$$T_c = \mathcal{F}(\alpha^2 F(\omega), \mu^*)$$

Electron-phonon
spectral function

Coulomb
pseudopotential



Migdal (1957): vertex corrections $O(m/M)^{1/2}$ can be dropped
(equivalent to adiabaticity condition)

MIGDAL-ELIASHBERG

BCS theory included retardation effects in a minimal way by introducing an energy cut-off: the Debye frequency.

Eliashberg treated the electron-phonon interaction including correctly the retardation effects.

$$T_c = \mathcal{F}(\alpha^2 F(\omega), \mu^*) \quad \Delta = G(\alpha^2 F(\omega), \mu^*)$$

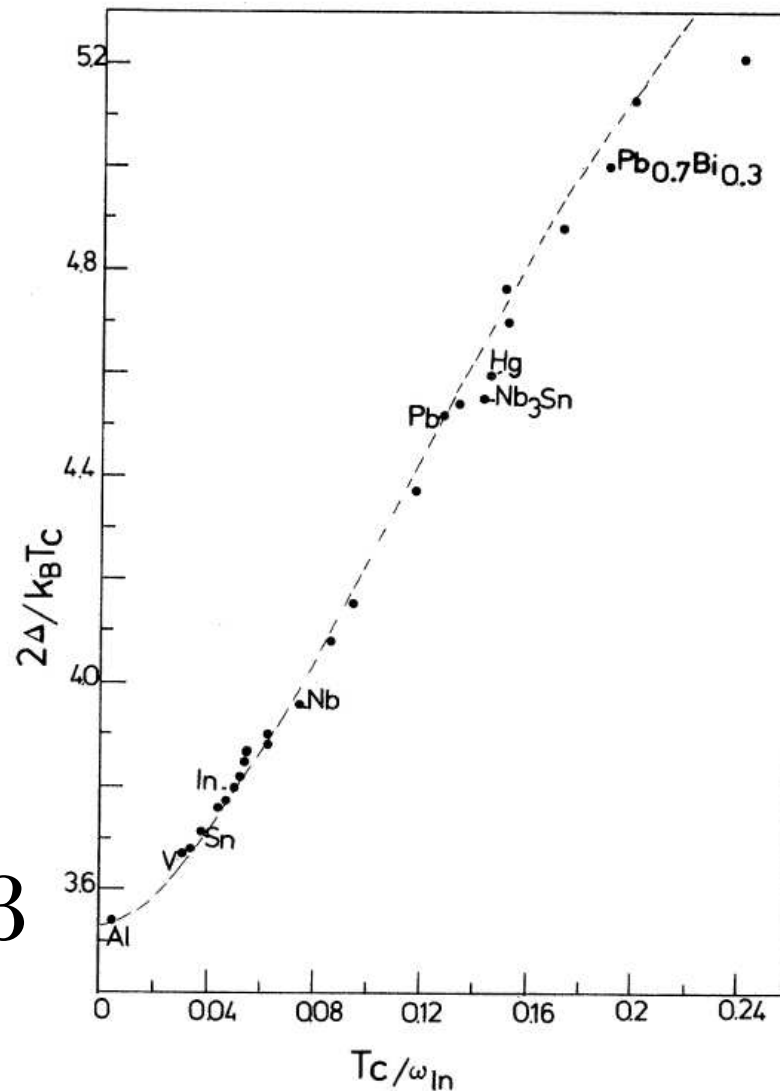
$$\frac{2\Delta}{k_B T_c} = 3.53 \left[1 + 12.5 \left(\frac{T_c}{\omega_{ln}} \right)^2 \log \left(\frac{\omega_{ln}}{2T_c} \right) \right]$$

Coupling strength

PRB 29, 184 (1984)

MIGDAL-ELIASHBERG

$$\frac{2\Delta(0)}{k_B T_c} = 3.53$$



PRB 29, 184 (1984)

MAX T_c FOR PHONON MEDIATED SUPERCONDUCTIVITY

McMillan PR 167, 331

$$T_c \propto \langle \omega_{ph} \rangle \exp[-1 / (\lambda - \mu^*)]$$

Input: phonon spectrum

With the electron phonon coupling constant

$$\lambda = 2 \int_0^\infty \frac{\alpha^2 F(\omega)}{\omega}$$

TABLE VIII. The predicted maximum superconducting transition temperature for four classes of materials found from the observed T_c and λ and Fig. 11, together with the observed

Pure metals

Alloys

Metal	T_c (°K)	λ	$T_c^{\max}$ (°K)	Observed maximum T_c	Material
Pb	7.2	1.3	9.2	8.8	Pb-Bi
Nb	9.2	0.82	22	10.8	Zr-Nb
V ₃ Si	17	0.82	40		
Nb ₃ Sn			28	20	Nb ₃ Al-Nb ₃ Ge

Note:

MgB₂ $T_c=40K$

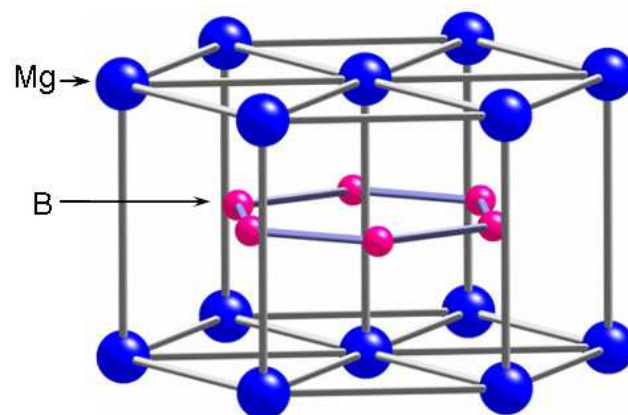
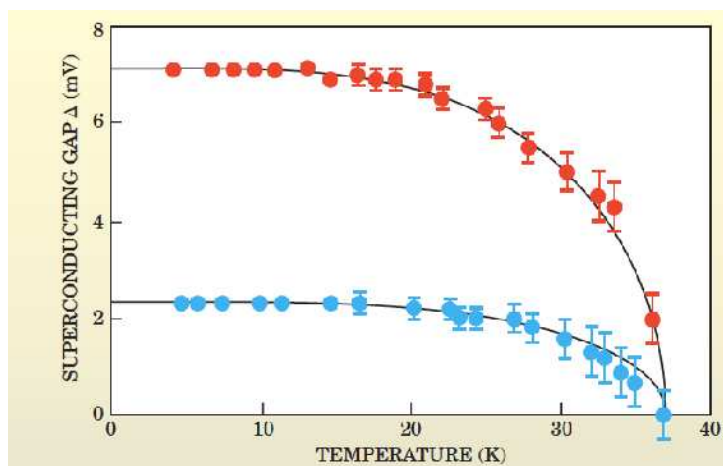
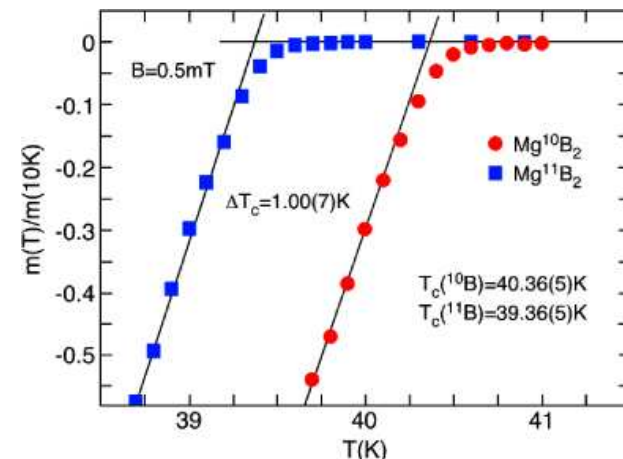
MgB₂ (2001)

$T_c = 39$ K.

MULTIBAND SUPERCONDUCTOR

Conventional SPC (electron-phonon interaction). 2 differentiated bands. One very strong phonon (in the B plane) coupled to the conduction electrons. 2 energy gaps with different electron-phonon strengths.

JPCM 24 233201



DOI: 10.5772/17117

RECORD T_c FOR CONVENTIONAL SUPERCONDUCTIVITY

H_2S $T_c=190K!!$ (at 200 GPa)

[arXiv:1412.0460](#)

$T_c=203$ K Nature 525, 73–76 (2015)

LaH_{10} $T_c=250$ K at 170 GPa

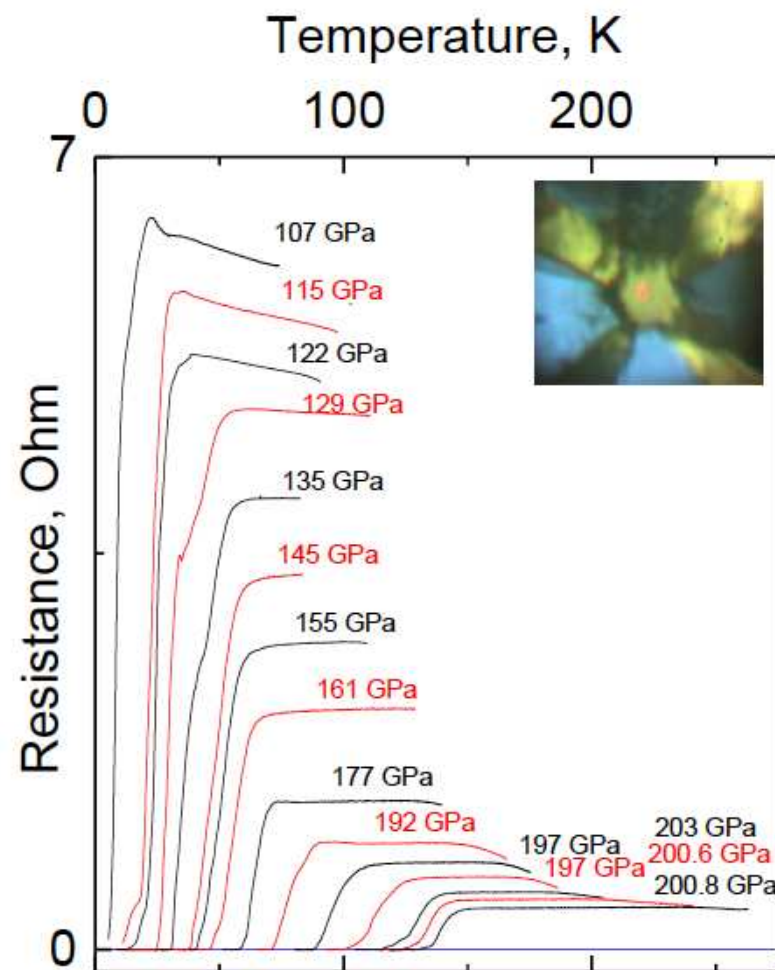
Nature 569, 528–531 (2019)

H_2S dissociates in these conditions leading to H_3S , which was predicted to be a superconductor at high pressures.

Hydrogen atoms are light $\rightarrow$ high phonon frequencies expected. Large T_c predicted [Ashcroft PRL 21, 1748 \(1968\)](#); [21, 1748 \(2004\)](#)

Possible role of anharmonic phonons.

[Errea et al PRL 114, 157004](#)



DIRTY SUPERCONDUCTORS (SUPERCONDUCTING ALLOYS)



ANDERSON THEOREM

Non-magnetic impurities in an isotropic (s-wave) superconductor do not affect superconductivity: same T_c , Δ and density of states. Time-reversal symmetry is not broken.

Valid as long as the disorder potential does not localize the conduction electrons.

DIRTY SUPERCONDUCTORS (SUPERCONDUCTING ALLOYS)



Magnetic impurities (which break time-reversal symmetry) depress T_c and modify the BCS density of states. (Anderson-Gor'kov).

Pair breaking interactions: increase the energy of one member of the pair and decrease the energy of the other (by affecting spin or momentum).

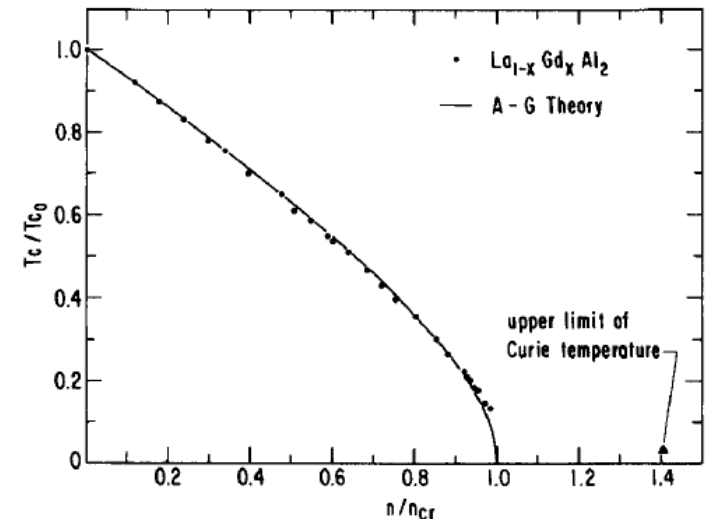
DIRTY SUPERCONDUCTORS (SUPERCONDUCTING ALLOYS)

Magnetic impurities (which break time-reversal symmetry) depress T_c and modify the BCS density of states. (Anderson-Gor'kov).

Paramagnetic impurities can suppress superconductivity

$$\ln T_c/T_{c0} = \Psi\left(\frac{1}{2}\right) - \Psi\left(\frac{1}{2} + 0.140nT_{c0}/n_{cr}T_c\right)$$

$$n_{cr} = 0.88 T_{c0}$$



Maple, Phys. Lett. A 26, 513 (1968)

DIRTY SUPERCONDUCTORS (SUPERCONDUCTING ALLOYS)

Magnetic impurities (which break time-reversal symmetry) depress T_c and modify the BCS density of states. (Anderson-Gor'kov).

$n_{cr}=0.88 T_{c0}$ but the gap disappears for $n'=0.91 n_{cr}$
GAPLESS SUPERCONDUCTIVITY Phillips, PRL 10, 96 (1963)

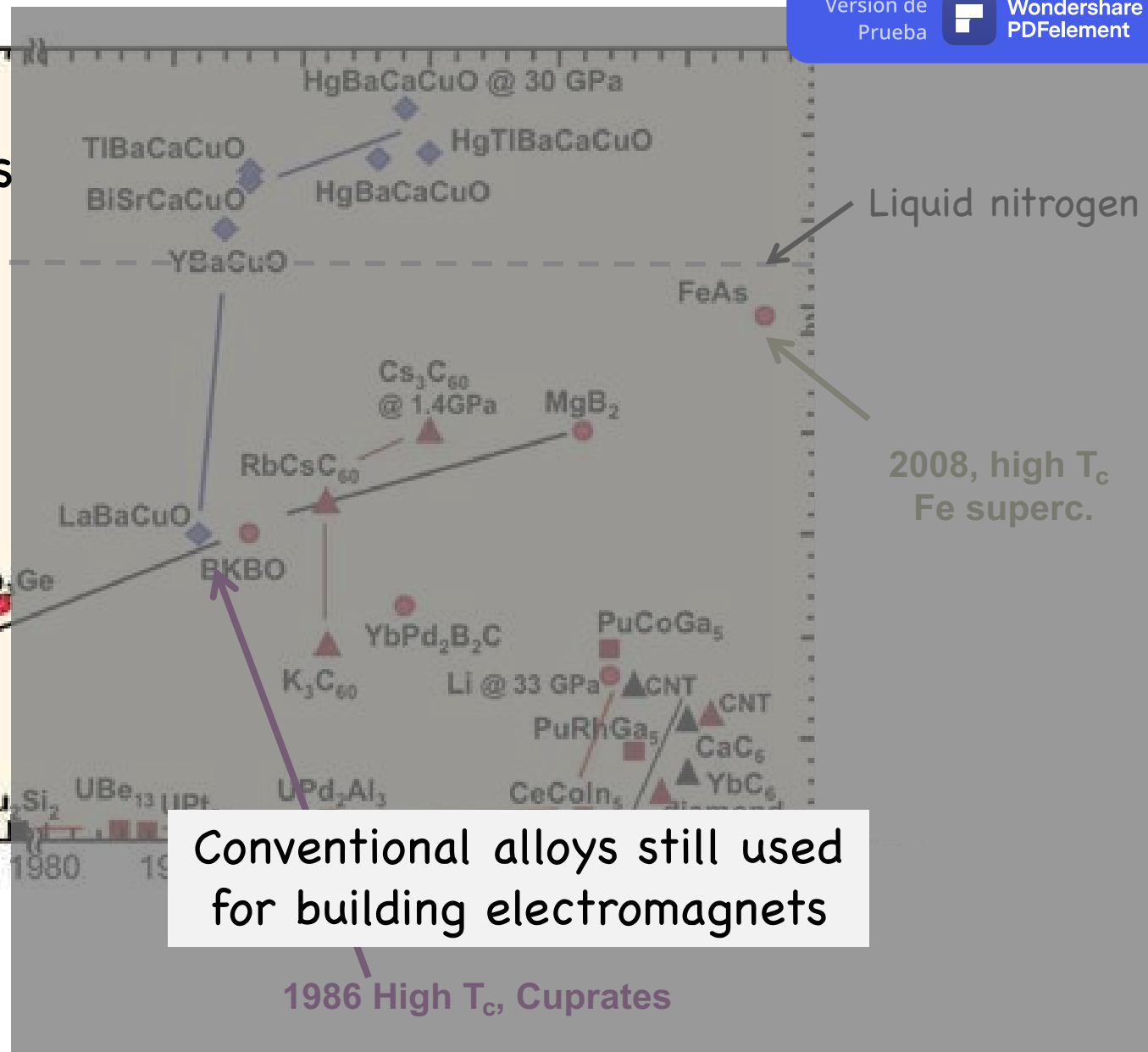
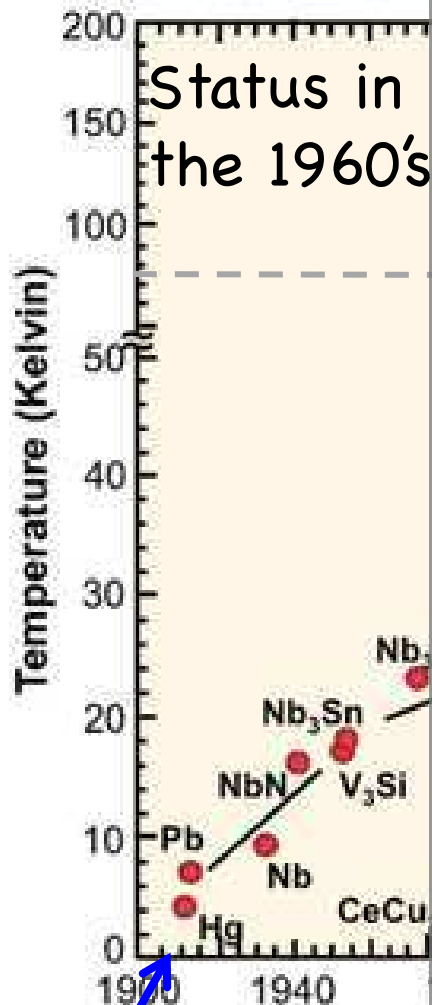
Pair breaking parameter $\alpha = \frac{1}{\tau_s}$

2-fluid model

Finite lifetime $\rightarrow$ energy broadening $\rightarrow$ states in the gap.



The gap goes to zero faster than T_c





MATTHIAS'S RULES

- High symmetry (cubic best) is good
- High density of electronic states is good.
- Stay away from oxygen
- Stay away from magnetism (PM impurities destroy superconductivity)
- Stay away from insulators
- Stay away from theorists

HOWEVER, THERE WERE MANY SURPRISES AHEAD...

