Emergence of Quantum Phases in Novel Materials Fermi liquid theory

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Outline

UWhy does band theory work? Concepts: Adiabaticity and quasiparticles

- Definition of adiabaticity and quasiparticles
- Quasiparticle weight
- Electronic distribution function
- Quasiparticle decay and spectral function
- Energy as a functional of the number of quasiparticles
- Renormalized mass
- Interaction parameters

Measurable quantities. Fermi liquid behavior

- Specific heat
- Mass in ARPES
- Spin susceptibility
- Resistivity

Instabilities of the Fermi liquid



Some references

- Introduction to many body physics. Piers Coleman. Cambridge University Press.
- Theory of Quantum Liquids. Phillipe Nozieres, David Pines. Advanced Books Classics.
- A guide to Feynmann Diagrams in the Many Body problem. E.D.
 Mattuck. Dover Books on Physics
- Metal-insulator transitions. M. Imada, A. Fujimori, Y. Tokura. Rev. Mod. Phys. 70, 1039 (1998)



Why does an independent electron model work at all?

Band theory:

- Basis of our understanding of solids
- -Successful description
- Metals and insulators
- Dependence on temperature of measurable quantities (Cv, χ , ..)



Fig: Calderón et al, PRB, 80, 094531 (2009)

Interactions:

Electrons interact between them (and also with the lattice)

Interaction energies are not small when compared with kinetic energy

Interactions have not been included in the band picture beyond a simple mean field

Why does band theory work?

Fermi liquid theory

Does it always work?

NO (Mott physics, Luttinger liquids ...)



What does it mean that the "independent" electron model works?

Band theory:

Energy levels filled following Fermi-Dirac distribution. Fermi surface.

Let's add electron with momentum k to ground state.

The new electron occupies an eigenstate of the system with momentum k.

Energy levels are not modified, just filled. Rigid Band shift

Description in terms of single particle states



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What does it mean that the "independent" electron model works?

Independent electron model (Band theory):

Rigid band shift. Single particle states

Interacting system:



Add electron with momentum k to ground state with N electrons

The new electron interacts with other electrons in the system

Energy levels are modified, not just filled. Non rigid band shift expected

The electron does not fill an eigenstate of the state with N electrons.

Description in terms of single particle states "does not seem possible".

"Electronic state expected to decay in excitations of the system".



What does it mean that the "independent" electron model works?

Band theory: Rigid band shift. Single particle states

Interacting system:



Description in terms of single particle states "does not seem possible".

"Electronic state expected to decay in excitations of the system".

- Pauli principle restricts the phase space for decay of excitations
- The behavior of the system controlled by the low energy excitations. Concept of Quasiparticle

Fermi liquid theory



Fermi liquid theory: general idea

□ It justifies the success of band theory

□ Focus not in ground state but in **low energy excitations.** Introduces the concept of **quasiparticles**. Valid only at low energies and temperatures.

Theory written in terms of **parameters**. It goes beyond particular models

Phenomenological theory, but it can be justified with perturbation theory

Perturbative theory, but not restricted to weak interactions.

□ Proposed for 3-He: isotropic, no charge, short range interactions, in the continuum limit but it can be generalized to describe electrons in a metal

Sometimes it fails. Correlated electron systems. Non-Fermi liquid behavior



Adiabaticity

 \Box Interacting electronic Hamiltonian H=H₀+V with ground state $|\Psi^*_g\rangle$

we do not know we know how to solve it how to solve it

 \Box Non interacting electronic Hamiltonian H_0 with ground state $|\Psi_g\rangle$

 \Box Imagine we start at t=- ∞ in $|\Psi_{g}>$ and switch on interactions slowly on time

$$H(t)=H_0 + \lambda(t)V \qquad \qquad \lambda(t)=e^{-\delta|t|}$$

Interaction completely switched on at t=0

 \Box Follow the evolution of the ground state from $|\Psi_g\rangle$ to $|\Psi^*_g\rangle$

$$|\Psi_{g}^{*}(t)\rangle$$
= V^{ev} $|\Psi_{g}\rangle$

See Coleman's book

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Adiabaticity

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Adiabaticity



No level crossing :

the excited state of the interacting system can be reached using perturbation theory Adiabaticity is a requirement for Fermi liquid to work

No phase transition

Excited state.

 $C^{\dagger}_{k\sigma}|\Psi *_{g}>$

Add an electron with momentum K to **interacting** ground state

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 \Box Assume the ground states of the interacting $|\Psi*_g>$ and non-interacting $|\Psi_g>$ N-particle system are connected by adiabaticity

 \Box Consider the non-interacting state of H_0 with N+1 particles (fermions).



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 \Box Assume the ground states of the interacting $|\Psi*_g>$ and non-interacting $|\Psi_g>$ N-particle system are connected by adiabaticity

 \Box Consider the non-interacting state of H_0 with N+1 particles (fermions).



 \Box Switch on interactions and look at interacting state H= H₀+ V with N+1 particles

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Same charge, spin and momentum

 $|k\sigma *>= V^{ev}|k\sigma>$

Interactions conserve charge, momentum and spin (Assumption: no spin-orbit coupling)

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$$k\sigma *>=a_{k\sigma}^{+}|\Psi *_{g}>$$

Quasiparticle \rightarrow Elementary excitation of the interacting system with momentum k, spin 1/2, and charge e Interactions conserve charge, momentum and spin

 $|k\sigma\rangle=C^{+}_{k\sigma}|\Psi_{g}\rangle$

Particle: Elementary excitation of the non-interacting system Charge e, spin 1/2, momentum k

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Interactions conserve charge, momentum and spin

 $|k\sigma\rangle = C^{+}_{k\sigma} |\Psi_{g}\rangle$

Particle: Elementary excitation of the non-interacting system Charge e, spin 1/2, momentum k

Quasiparticle \rightarrow Elementary excitation of the interacting system with momentum k, spin 1/2, and charge Different operatos for particle (electron) & quasiparticle $a^{\dagger}_{k\sigma} = V^{ev} C^{\dagger}_{k\sigma} V^{ev\dagger}$

See Coleman's book



- Fermi liquid theory requires adiabaticity
 - Perturbative effect of the interactions
 - No phase transition with respect to the non-interacting system
- Quasiparticle: elementary excitation of the interacting system.

Charge e, spin σ , momentum k ensured by adiabaticity and interactions which conserve charge, spin and momentum

$$|k\sigma *>=a^{+}_{k\sigma} |\Psi *_{g} >= V^{ev} (C^{+}_{k\sigma} |\Psi_{g} >)$$
Definition of quasiparticle $a^{\dagger}_{k\sigma} \neq c^{\dagger}_{k\sigma}$

• In an experiment:

$$c_{k\sigma}^{+} |\Psi_{g}\rangle$$

 $c_{k\sigma} |\Psi *_g >$

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ARPES

The elementary excitations of the interacting system are the quasiparticles $a^{\dagger}_{k\sigma}$ not the electrons.

 \Box Write $c^{\dagger}_{k\sigma}$ in terms of the elementary excitations of the interacting system $a^{\dagger}_{k\sigma}$

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$
If different from zero higher order

Generic expression which conserves charge, momentum & spin

 $C^{\dagger}_{k\sigma}|\Psi^{*}_{g}\rangle$

the electron decays into Particle-hole quasiparticle excitations

decay processes

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$$C^{\dagger}_{k\sigma}|\Psi *_{g} >$$

□ Write the electron operator $C^{\dagger}_{k\sigma}$ in terms of the excitations of the interacting system $a^{\dagger}_{k\sigma}$ Same charge, momentum & spin

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$
higher order
higher order
decay processes

of $C^{\dagger}_{k\sigma} | \Psi *_{g} >$ makes sense if Z_{k} is finite

$$Z_{k} = |\langle \Psi *_{g} | a_{k\sigma} c^{\dagger}_{k\sigma} | \Psi *_{g} \rangle|^{2} > 0$$

Quasiparticle weight

Overlap between the state reached adding an electron to the interacting system and the state which results from adding an elementary excitation to the interacting system

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$$C^{\dagger}_{k\sigma}|\Psi *_{g} >$$

□ Write the electron operator $c^{\dagger}_{k\sigma}$ in terms of the excitations of the interacting system $a^{\dagger}_{k\sigma}$ Same charge, momentum & spin

decay processes

$$0 \le Z_k \le 1$$

Quasiparticle weight

Z_k Measures the strength of the correlations & & the validity of the Fermi liquid description

- Z_k=1 non interacting system
- $Z_k=0$ Fermi liquid theory not applicable
- $Z_k > 0$ ensures one to one correspondence between electron and quasiparticle.

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Interacting system, if Z_k is finite

Elementary excitations:

quasiparticles with charge e and spin $\frac{1}{2}$ and momentum k

-The quasiparticles are not electrons but there is a one-to-one correspondence with an el



 Z_k gives the part of the excitation $C^{\dagger}_{k\sigma} | \Psi *_g >$ which is in the quasiparticle state (how much of the quasi-horse is really the horse)

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- Fermi liquid theory requires adiabaticity
 - Perturbative effect of the interactions
 - No phase transition with respect to the non-interacting system
- Quasiparticle: elementary excitation of the interacting system.

Charge e, spin σ , momentum k ensured by adiabaticity and interactions which conserve charge, spin and momentum

$$|k\sigma^{*}\rangle = a_{k\sigma}^{+} |\Psi^{*}_{g}\rangle = V^{ev} (C_{k\sigma}^{+} |\Psi_{g}\rangle)$$

• Quasiparticle weight: Overlap between the elementary excitations of the interacting and non-interacting systems. $0 \le Z_k \le 1$ strength of correlations

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$

 Z_k >0 required for the applicability of Fermi liquid theory



Adiabaticity and quasiparticles. Fermi surface





Electronic distribution. Jump at Fermi Surface





Electronic distribution. Jump at Fermi Surface



Adiabaticity and quasiparticles. Fermi surface and decay



Adiabatically connected

Non-interacting system

 $|k\sigma\rangle = C^{+}_{k\sigma} |\Psi_{g}\rangle$ Eigenstate

Interacting system





Adiabaticity and quasiparticles. Fermi surface and decay





Quasiparticle decay

A quasiparticle with $k > k_F$ cannot decay into an **occupied** state below the FS with $k < k_F$

Decay of quasiparticles conserves momentum, charge & spin





Pauli principle restricts the decay processes allowed

Decay of one quasiparticle in a 3-body process

Figs. Coleman's book

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Quasiparticle decay

A quasiparticle with $k > k_F$ cannot decay into an **occupied** state below the FS with $k < k_F$

Decay of quasiparticles conserves momentum, charge & spin



Decay of one quasiparticle 3-body process Quasiparticles well defined at low energies & temperatures

Infinite lifetime at the Fermi surface at zero temperature



Quasiparticle decay





Decay of one quasiparticle 3-body process



$$\epsilon^* = E^* - E^*_F$$

Energy from Fermi Surface

Quasiparticles well defined at low energies & temperatures

Infinite lifetime at the Fermi surface at zero temperature

in 3D and 2D but not 1D. Fermi liquid theory fails in 1D

Giuliani PRB 26, 4428 (1982)

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Spectral function A(k,ω)

□ Non interacting system



Eigenstate, well defined energy for a given momentum. Infinite lifetime

Distribution of excitations created when a particle is added or removed from the system



Spectral function $A(k,\omega)$

Non interacting system

Distribution of excitations created when an electron is added or removed from the system



Interacting system

Quasiparticle peak: Part of the electronic excitation which is in The quasiparticle state **Coherent part**

Continuum:

Amount of the Electronic excitation which is **not in the** quasiparticle state **Incoherent part**



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Spectral function $A(k,\omega)$

Interacting system

Distribution of excitations created when an electron is added or removed from the system

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4},\mathbf{k}_{3}\sigma_{3};\mathbf{k}_{2}\sigma_{2},\mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$

Quasiparticle peak: Part of the electronic excitation which is in The quasiparticle state **Coherent part**

Continuum:

Amount of the Electronic excitation which is **not in the quasiparticle state Incoherent part**

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Spectral function $A(k,\omega)$ and ARPES



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Summary: Fermi liquid. Concepts

□ Why does band theory work?

□ Fermi liquid: Adiabaticity & Quasiparticles



Interactions connect two ground states with the same symmetry & topology with no phase transition One to one correspondence between electrons and quasiparticles, respectively the elementary excitations of the non-interacting and interacting systems : same spin, charge and momentum



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Summary: Fermi liquid. Concepts

□ The electron decays into quasiparticle excitations

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$

Quasiparticle weight 0< Z< 1 Strength of correlations

Z, inverse of mass enhancement (simple models)

Quasiparticles are fermions. Fermi surface




Summary: Fermi liquid. Concepts

Quasiparticles are not eigenstates. Decay. Well defined at low energies and temperatures in 3D, but not in 1D

3D: $\tau^{-1} = \frac{(\epsilon^*)^2 + \pi^2 T^2}{E_F^*}$ Do not confuse the decay of the quasiparticle (width of the quasiparticle level) with the decay of the electron into quasiparticles

The electron decays into quasiparticle excitations

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$

Quasiparticle weight 0< Z< 1 Strength of correlations



Summary: Fermi liquid. Concepts

Quasiparticles are not eigenstates. Decay. Well defined at low energies and temperatures in 3D, but not in 1D

3D:
$$\tau^{-1} = \frac{(\epsilon^*)^2 + \pi^2 T^2}{\epsilon^*}$$

Continuum:

Amount of the Electronic excitation which is **not in the quasiparticle state Incoherent part**

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Fermi liquid theory

Assume adiabaticity: the interacting ground state is perturbatively connected

Quasiparticles: elementary excitations of the interacting system have a one to one correspondence with elementary excitations of the non-interacting one. Implies quasiparticle weight Z_k finite.

Quasiparticles in a metal: fermionic excitations with spin $\frac{1}{2}$ and charge e. Well defined Fermi surface

Quasiparticle decay rate in 3D:

$$\tau^{-1} = \frac{(\epsilon^*)^2 + \pi^2 T^2}{E^*_{F}}$$

□ Description of the system in terms not of the ground state of the system but in terms of the low energy excitations→ deviation from equilibrium δn_p .

 \Box Energy functional $F[\delta n_p]$ in terms of parameters which can be measured experimentally.



Description in terms of quasiparticles

Consider non-interacting ground state

$$H = H_0 - \mu N = \sum_{\sigma} (E_{\mathbf{k}} - \mu) c^{\dagger}_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}$$

$$\psi_g \rangle = \prod_{|\mathbf{k}| < k_F, \sigma} c^{\dagger}_{\mathbf{k}\sigma} |0\rangle$$

□ Define the transformation

$$\mathbf{d}^{\dagger}\mathbf{k}\sigma = \begin{cases} c^{\dagger}\mathbf{k}\sigma & (k > k_F) & \text{particle} \\ \operatorname{sgn}(\sigma)c_{-\mathbf{k}-\sigma} & (k > k_F) & \text{hole} \end{cases}$$

□ Rewrite the hamiltonian

e

$$H_{0} - \mu N = \sum_{k\sigma} |(E_{k} - \mu)| d^{\dagger}_{k\sigma} d_{k\sigma} + F_{g}$$

Excitations
Deviation from
equilibrium occupation
Excitations



$$H_0 - \mu N = \sum_{\mathbf{k}\sigma} |(E_{\mathbf{k}} - \mu) \mathbf{d}_{\mathbf{k}\sigma}^{\dagger} \mathbf{d}_{\mathbf{k}\sigma} + F_g$$

Excitations (equilibrium occupation of states included in F_g)

$$\delta n_{k\sigma} = d^{\dagger}_{k\sigma} d_{k\sigma} \longrightarrow$$
 Density of excitations

$$H_{0}-\mu N = F_{g} + \sum_{k\sigma} \varepsilon_{k\sigma} \delta n_{k\sigma} = F [\delta n_{k\sigma}]$$

Excitation energy measured *k* with respect to the ground state

Energy written as a functional of the density of excitations



$$H_0 - \mu N = \sum_{\mathbf{k}\sigma} |(E_{\mathbf{k}} - \mu)_{\mathbf{d}} \mathbf{k}\sigma \mathbf{k}\sigma + F_g$$

Excitations (equilibrium occupation of states included in F_g)

 $\delta n_{k\sigma} = d^{\dagger}_{k\sigma} d_{k\sigma} \longrightarrow$ Density of excitations

$$H_{0}-\mu N = F_{g} + \Sigma_{k\sigma} \varepsilon_{k\sigma} \delta n_{k\sigma} = F [\delta n_{k\sigma}]$$

Excitation energy measured *k* with respect to the ground state

Energy written as a functional of the density of excitations

□ Landau's idea: Energy of the interacting system is a functional of the density of quasiparticles $F[\delta n_{k\sigma}]$. Expansion around equilibrium. Small $\delta n_{k\sigma}$

$$\delta n_{k\sigma} = a^{\dagger}_{k\sigma} a_{k\sigma}$$



□ Landau's idea: Energy of the interacting system is a functional of the density of quasiparticles $F[\delta n_{k\sigma}]$. Expansion around equilibrium. Small $\delta n_{k\sigma}$





Landau's idea: Energy of the interacting system is a functional of the density of quasiparticles F [$\delta n_{k\sigma}$]. Expansion around equilibrium. Small $\delta n_{k\sigma}$



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□ To first order:

Energy of a quasiparticle in the absence of other quasiparticles

 $F \left[\delta n_{k\sigma}\right] = F_{g} + \Sigma_{k\sigma} \varepsilon \tilde{*}_{k\sigma} \delta n_{k\sigma}$



□ To first order:

Energy of a quasiparticle in the absence of other quasiparticles

$$F \left[\delta n_{k\sigma}\right] = F_g + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta n_{k\sigma}$$

In a non-interacting state (continuum)

$$F [\delta n_{k\sigma}] = F_g + \sum_{k\sigma} \varepsilon_{k\sigma} \delta n_{k\sigma}$$

$$\epsilon_{k\sigma} = k^2/2m - \mu = (k^2 - k_F^2)/2m$$

close to k_F we can linearize the spectrum (first order expansion in k-k_F)

$$\varepsilon_{k\sigma} = \frac{k_F}{m}(k-k_F)$$



□ To first order:

Energy of a quasiparticle in the absence of other quasiparticles

$$F [\delta n_{k\sigma}] = F_g + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta n_{k\sigma}$$

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$$\epsilon_{k\sigma} = k^2/2m - \mu = (k^2 - k_F^2)/2m$$

close to k_F we can linearize the spectrum (first order expansion in k-k_F)



By analogy, in the interacting system, we linearize the spectrum close to k_F and **define m***



Renormalized mass or quasiparticle mass





Band mass different to free electron mass Modification due to ionic potential

 $\varepsilon_{k\sigma} = \frac{\kappa_F}{m} (k - k_F)$

□ Interacting system:

 $\epsilon *_{k\sigma} = \frac{k_F}{m^*} (k - k_F)$

Quasiparticle mass

Different from m due to electronic interactions





Landau's idea: Energy of the interacting system is a functional of the density of quasiparticles F [$\delta n_{k\sigma}$]. Expansion around equilibrium. Small $\delta n_{k\sigma}$



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Fermi liquid theory: Interactions between quasiparticles





$$H_0 - \mu N = \sum_{\mathbf{k}\sigma} |(E_{\mathbf{k}} - \mu) \mathbf{d}_{\mathbf{k}\sigma}^{\dagger} \mathbf{d}_{\mathbf{k}\sigma} + F_g$$

Excitations (equilibrium occupation of states included in F_g)

 $\delta n_{k\sigma} = d^{\dagger}_{k\sigma} d_{k\sigma} \longrightarrow$ Density of excitations

$$H_{0}-\mu N = F_{g} + \sum_{k\sigma} \varepsilon_{k\sigma} \delta n_{k\sigma} = F [\delta n_{k\sigma}]$$

Excitation energy measured *k* with respect to the ground state

Energy written as a functional of the density of excitations

Non interacting case \rightarrow Absence of second order term $\Sigma_{k\sigma k'\sigma'}f_{k\sigma k'\sigma'}\delta n_{k\sigma}\delta n_{k'\sigma'}$





Fermi liquid theory: Interactions between quasiparticles



$$\mathsf{F} \left[\delta \mathsf{n}_{k\sigma} \right] = \mathsf{F}_{\mathsf{g}} + \Sigma_{k\sigma} (\varepsilon *_{k\sigma} \Sigma_{k\sigma'} \Sigma_{k\sigma'} \mathsf{f}_{k\sigma k'\sigma'} \delta \mathsf{n}_{k'\sigma'}) \delta \mathsf{n}_{k\sigma}$$

The energy of a quasiparticle Is modified by the presence of other quasiparticles

$$F [\delta n_{k\sigma}] = F_g + \Sigma_{k\sigma} \varepsilon'_{k\sigma} \delta n_{k\sigma}$$
$$\varepsilon'_{k\sigma} = \varepsilon *_{k\sigma} \Sigma_{k'\sigma'} f_{k\sigma k'\sigma'} \delta n_{k'\sigma'}$$

Non-rigid band shift



Fermi liquid theory: Interaction parameters

$$\mathsf{F}\left[\delta \mathsf{n}_{k\sigma}\right] = \mathsf{F}_{\mathsf{g}} + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta \mathsf{n}_{k\sigma} + \Sigma_{k\sigma k'\sigma'} \mathsf{f}_{k\sigma k'\sigma'} \delta \mathsf{n}_{k\sigma} \delta \mathsf{n}_{k'\sigma'} + \mathsf{O}(\delta \mathsf{n}^3)$$

Remember assumption of isotropic system

Focus on the Fermi surface $|k| = |k'| = K_F$

Time-reversal invariance (no magnetic field)

Fermi surface invariant under reflection $k \rightarrow -k$

$$f_{k\sigma,k'\sigma'} = f_{-k-\sigma,-k'-\sigma'}$$
$$f_{k\sigma,k'\sigma'} = f_{k-\sigma,k'-\sigma'}$$

If spin is conserved in general the dependence on spin enters only via their relative orientation

$$f_{k\sigma,k'\sigma'} = f_{kk'} + f_{kk'} + \sigma \cdot \sigma'$$
Spin symmetric
Spin antisymmetric
(exchange)

Pines & Nozieres and Coleman's books

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Fermi liquid theory: Interaction parameters

Focus on the Fermi surface $|k| = |k'| = K_{F_{e}}$ Isotropic system

$$\mathbf{f}_{\mathbf{k}\mathbf{k}'}^{s,a} = \sum_{\mathbf{l}=0}^{\infty} \mathbf{f}_{\mathbf{l}}^{s,a} \mathbf{P}_{\mathbf{l}}(\cos \xi)$$



Fermi liquid theory: Interaction parameters

$$\begin{split} \mathsf{F}\left[\delta \mathsf{n}_{k\sigma}\right] = \mathsf{F}_{\mathsf{g}} + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta \mathsf{n}_{k\sigma} + \Sigma_{k\sigma k'\sigma'} \mathsf{f}_{k\sigma k'\sigma'} \delta \mathsf{n}_{k\sigma} \delta \mathsf{n}_{k'\sigma'} + O(\delta \mathsf{n}^3) \\ \mathsf{f}_{kk'}{}^{\mathsf{s}} \qquad \mathsf{f}_{kk'}{}^{\mathsf{a}} \end{split}$$

Focus on the Fermi surface $|k| = |k'| = K_{F_{r}}$ Isotropic system

$$\mathbf{f}_{kk'}^{s,a} = \sum_{l=0}^{\infty} \mathbf{f}_{l}^{s,a} \mathbf{P}_{l}(\cos \xi)$$

Dimensionless parameters $N^*(E_F^*) f_I^{s,a} = F_I^{s,a}$

Density of quasiparticle states at the Fermi level In the interacting system

Interaction parameters F_0^{s} , F_0^{a} , F_1^{s} , F_1^{a} ... Can be extracted from experiment and model calculations



Fermi liquid theory: Interaction and parameters. Summary

Expansion of the free energy in terms of the quasiparticle density

$$F [\delta n_{k\sigma}] = F_g + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta n_{k\sigma} + \Sigma_{k\sigma k'\sigma'} f_{k\sigma k'\sigma'} \delta n_{k\sigma} \delta n_{k'\sigma'} + O(\delta n^3)$$
Energy of a quasiparticle
In the absence of other
quasiparticles
Linearized dispersion around K_F

$$\varepsilon *_{k\sigma} = \frac{k_F}{m^*} (k - k_F)$$
Renormalized mass or
quasiparticle mass
$$N^* (E^*_F) f_1^{5,a} = F_1^{5,a}$$

Small number of parameters I=0,1 ...

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Bandwidth renormalization

 $\varepsilon_{k\sigma} = \frac{k_F}{m}(k-k_F)$

 $\epsilon *_{k\sigma} = \frac{\kappa_F}{m^*} (k - k_F)$



m*>m always The backflow of the surrounding fluid enhances the mass







Bandwidth renormalization



Fig. : Evtushinksy lectures

 $\epsilon *_{k\sigma} = \frac{\kappa_F}{m^*} (k - k_F)$



m*>m always

The backflow of the surrounding fluid enhances the mass

LDA

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Bands can be visualized via angle resolved photoemmission ARPES and the effective mass obtained comparing the experimental bands with the LDA prediction (also quantum oscillations) How large is m*/m?

Experimental



Non-interacting Fermi gas: $K_B T \ll \mu$ From Fermi-Dirac statistics $n(\epsilon) = \frac{1}{e^{(\epsilon - \mu)/K_B T} + 1}$

○ Specific heat
$$C_v = \frac{\partial F}{\partial T} \Big|_{\mu}$$

independent

of T

• Spin susceptibility

$$\chi_{s} = \frac{\partial M}{\partial H}$$

$$\chi_{s} = \mu_{B}^{2} N(E_{F}) \propto m$$

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Specific heat
$$C_v = \frac{\partial F}{\partial T}\Big|_{\mu}$$

 $F [\delta n_{k\sigma}] = F_g + \Sigma_{k\sigma} \epsilon *_{k\sigma} \delta n_{k\sigma} + \Sigma_{k\sigma k'\sigma'} f_{k\sigma k'\sigma'} \delta n_{k\sigma} \delta n_{k'\sigma'} + O(\delta n^3)$ $F(T) \qquad \propto T^2 \qquad \propto T^4$ Dominates at low T

See Pines & Nozieres' and Coleman's books for details on the derivation

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Specific heat
$$C_v = \frac{\partial F}{\partial T} \Big|_{\mu}$$

 $F [\delta n_{k\sigma}] = F_{g} + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta n_{k\sigma} + \Sigma_{k\sigma k'\sigma'} f_{k\sigma k'\sigma'} \delta n_{k\sigma} \delta n_{k'\sigma'} + O(\delta n^{3})$ $F(T) \qquad \propto T^{2} \qquad \propto T^{4}$ $C^{*}{}_{v} = \gamma * T \qquad \gamma * = (\pi/3) K^{2}{}_{B}N^{*}(E^{*}{}_{F}) \propto m^{*}$ Same temperature dependence as in Fermi gas but with a renormalized proportionality constant $\gamma *$



Specific heat
$$C_v = \frac{\partial F}{\partial T}_{\mu}$$

 $\mathsf{F} \left[\delta \mathsf{n}_{k\sigma} \right] = \mathsf{F}_{\mathsf{g}} + \Sigma_{k\sigma} \varepsilon *_{k\sigma} \delta \mathsf{n}_{k\sigma} + \Sigma_{k\sigma k'\sigma'} \mathsf{f}_{k\sigma k'\sigma'} \delta \mathsf{n}_{k\sigma} \delta \mathsf{n}_{k'\sigma'} + \mathsf{O}(\delta \mathsf{n}^3)$ F(T) ∝T² \propto T⁴ Same temperature dependence $C_{v}^{*} = \gamma T \gamma = (\pi/3)K_{B}^{2}N^{*}(E_{F}^{*}) \propto m^{*}$ as in Fermi gas but with a renormalized **proportionality constant** γ* Check experimental dependence and measure γ Interacting Non-Interacting Can be also compared with Model calculations [×]C*_v= <u>m</u>* ∠ C_v , Comparison with

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LDA like calculations

Specific heat

$$C_v = \gamma * T$$
 $\gamma * = (\pi/3) K_B^2 N^* (E_F^*) \propto m^*$

 $\gamma^*_{Au,Ag} = 0.67 \text{ mJ mol}^{-1} \text{ K}^{-1}$ (vs 0.63 mJ mol}^{-1} \text{ K}^{-1} in absence of interaction)

$$\gamma^*_{CeCu6} = 1,5 \text{ J mol}^{-1} \text{ K}^{-1} \longrightarrow \text{Heavy fermion}$$





Specific heat

$$C_v = \gamma * T$$
 $\gamma * = (\pi/3) K_B^2 N^* (E_F^*) \propto m^*$ (note phonon contribution can dominate $C_v \propto T^3$)

 $\gamma^*_{Au,Ag} = 0.67 \text{ mJ mol}^{-1} \text{ K}^{-1}$ (vs 0.63 mJ mol}^{-1} \text{ K}^{-1} in absence of interaction)





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Mass renormalization

Looking at the quasiparticle current

$$m^{*}=m(1+F_{1}^{s})=\frac{m}{1-N(E_{F})f_{s}^{1}}$$

Spin independent But directional response

Coleman's book



O Spin susceptibility







Spin susceptibility



Fermi liquid theory: Measurable quantities. Resistivity





Fermi liquid theory: Measurable quantities. Resistivity





Fermi liquid theory: Measurable quantities. Resistivity



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Kadowaki –Woods ratio


Fermi liquid theory: Measurable quantities



Fermi liquid behavior

Bands observed in photoemission

Reduction in bandwidth as compared to LDA estimate of m*/m

Specific heat linear in temperature. Enhancement of γ estimate of m*/m Careful in materials with multiple Fermi pockets

□ **Temperature independent spin susceptibility** Enhancement: mass renormalization + Stoner enhancement

Resistivity quadratic in temperature Interactions enhance resistivity as (m*)²

$$\rho = \rho_0 + AT^2$$

Correlated electrons: frequently non-Fermi liquid behavior



Fermi liquid instabilities. Phase transitions

$$m^*=m(1+F_1^s)=\frac{m}{1-N(E_F)f_s^1}$$

It could diverge for large enough interactions (Localization. Metal-insulator transition)

$$\chi^*_{s} = \frac{\mu_{B}^2 N^* (E_{F})}{1 + F_{0}^{a}}$$

It diverges if $F_{a}^{0} = -1$



Fermi liquid theory: Summary

 \Box Adiabaticity and well defined quasiparticles assumed ($Z_k > 0$). Quasiparticles spin ½ and charge e and momentum k. Fermi surface.



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