## Introduction to Topological Superconductivity and Majoranas

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Reviews:

- •J. Alicea, Rep. Prog. Phys. 75, 076501(2012).
- •Elliot&Franz, Rev. Mod. Phys. 87, 137 (2015).
- •R. Aguado, La Rivista del Nuovo Cimento 40, 523 (2017)

Popular article: Ramón Aguado and Leo Kouwenhoven, Physics Today June 2020.









 $(i\hbar\gamma^{\mu}\partial_{\mu}-mc)\Psi=0$ 



Since the  $\gamma$  matrices are in general complex, the solutions of Dirac's equation are complex. This is expected since electrons are electrically charged and this requires complex spinors.

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 Majorana's question (1937): does the Dirac equation necessarily involve complex solutions?

$$(i\hbar\gamma^{\mu}\partial_{\mu} - mc)\Psi = 0$$

Majorana's answer: No, if Weyl matrices are purely imaginary (Majorana representation)

$$\gamma^0 = \sigma_y \otimes \sigma_x$$
  
 $\gamma^1 = i\sigma_x \otimes 1$   
 $\gamma^2 = i\sigma_z \otimes 1$   
 $\gamma^3 = i\sigma_y \otimes \sigma_y$ 

$$\Psi = \Psi^*$$

•Neutral particle equals its own antiparticle.

•Very relevant in high-energy physics (neutrinos, dark matter, etc).

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•Many experimental efforts to search for Majorana neutrinos are underway. To date their detection remains an experimental challenge.

More rigorously, the particle and antiparticle are charge conjugate solutions of the Dirac equation coupled to the electromagnetic field.

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$$\begin{array}{l} \partial_{\mu} \to i\partial_{\mu} + eA_{\mu} \\ \partial_{\mu} \to i\partial_{\mu} - eA_{\mu} \end{array}$$

RELEVANT FOR US: in the most general case, it is sufficient to demand in any representation that there exists a matrix such that both charge conjugate solutions are equal

$$\Psi^{C} = C\Psi^{*} = \Psi$$
$$C = \tau_{y} \otimes \sigma_{y} = \begin{pmatrix} 0 & -i\sigma_{y} \\ i\sigma_{y} & 0 \end{pmatrix}$$

charge conjugation operator

Dirac equation in Weyl representation (chiral spinors where the spin of a particle points in the same direction as its momentum)

$$\begin{pmatrix} -m & i\partial_t - \boldsymbol{\sigma}\mathbf{p} \\ i\partial_t + \boldsymbol{\sigma}\mathbf{p} & -m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = 0,$$

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In the Dirac equation, the mass term mixes left and right chiralities

Dirac equation in Weyl representation (chiral spinors where the spin of a particle points in the same direction as its momentum)

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$$\begin{pmatrix} -m & i\partial_t - \boldsymbol{\sigma}\mathbf{p} \\ i\partial_t + \boldsymbol{\sigma}\mathbf{p} & -m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = 0,$$

$$\text{Impose charge conjugation} \quad \Psi^C = \Psi \\ (i\partial_t - \boldsymbol{\sigma}\mathbf{p})\psi_R - im\sigma_y\psi_R^* = 0 \\ (i\partial_t + \boldsymbol{\sigma}\mathbf{p})\psi_L - im\sigma_y\psi_L^* = 0$$

In the Majorana equation, chiralities become decoupled and **the mass term mixes particles and antiparticles** (technically, this implies that the equation is not gauge invariant, hence Majorana particles cannot be coupled to the electromagnetic field and are thus necessarily charge neutral).





Physics 3, 24 (2010)

#### Viewpoint

#### Race for Majorana fermions

Marcel Franz Department of Physics and Astronomy, University of British Columbia, Va Published March 15, 2010

> The race for realizing Majorana fermions – clusive particles the we still await ideal materials to work with.



Viewpoint

#### Majorana fermions inch closer to reality

Taylor L. Hughes University of Illinois at Urbana-Champerign, 1110 W. Creen St., Urbana, IL 61801, USA Published August 22, 2011 Physics 4, 67 (2011)

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# ALL PROPOSALS ARE BASED ON SYSTEMS INVOLVING SUPERCONDUCTORS, WHY?

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### Majorana Fermions in Condensed Matter



### Majorana Fermions in Condensed Matter





Superconducting BCS mean-field pairing for a generic Hamiltonian

$$\mathcal{H} = \int d\mathbf{r} [\sum_{\sigma,\sigma'} H_0^{\sigma,\sigma'}(\mathbf{r}) c_{\sigma\mathbf{r}}^{\dagger} c_{\sigma'\mathbf{r}} + (\Delta(\mathbf{r}) c_{\uparrow\mathbf{r}}^{\dagger} c_{\downarrow\mathbf{r}}^{\dagger} + H.c.)].$$

Four-component Nambu spinor

$$\hat{\Psi}(\mathbf{r}) = \begin{pmatrix} c_{\uparrow,\mathbf{r}} \\ c_{\downarrow,\mathbf{r}} \\ -c_{\downarrow,\mathbf{r}}^{\dagger} \\ c_{\uparrow,\mathbf{r}}^{\dagger} \end{pmatrix}$$

Bogoliubov-DeGennes (BdG) hamiltonian, which is a **redundant** description to treat electrons and holes at the same footing

$$\mathcal{H} = \frac{1}{2} \int d\mathbf{r} \hat{\Psi}^{\dagger}(\mathbf{r}) H_{BdG}(\mathbf{r}) \hat{\Psi}(\mathbf{r}),$$

Bogoliubov-DeGennes (BdG) hamiltonian, which is a **redundant** description to treat electrons and holes at the same footing

$$\mathcal{H} = \frac{1}{2} \int d\mathbf{r} \hat{\Psi}^{\dagger}(\mathbf{r}) H_{BdG}(\mathbf{r}) \hat{\Psi}(\mathbf{r}),$$



hole sector (time-reversed version of the electron)

The redundancy is resolved by observing that the BdG Hamiltonian has **particle-hole (charge conjugation) symmetry**.

$$C = \tau^y \sigma^y = \begin{pmatrix} 0 & -i\sigma^y \\ i\sigma^y & 0 \end{pmatrix}$$

Charge conjugation operator

 $CH^*_{BdG}(r)C^{\dagger} = -H_{BdG}(r)$ 

For each eigenstate at positive energy there is an equivalent particle-hole conjugate eigenstate at negative energy

Bogoliubov-DeGennes (BdG) hamiltonian, which is a **redundant** description to treat electrons and holes at the same footing

$$H_{BdG}(\mathbf{r})\Phi_{n}(\mathbf{r}) = E\Phi_{n}(\mathbf{r}),$$

$$\Phi_{n}(\mathbf{r}) = [u_{n\uparrow}(\mathbf{r}), u_{n\downarrow}(\mathbf{r}), v_{n\uparrow}(\mathbf{r}), v_{n\downarrow}(\mathbf{r})]^{T}$$
Stationary solutions
$$\mathcal{H} = \frac{1}{2}\sum_{n} E_{n}\gamma_{n}^{\dagger}\gamma_{n}$$

$$\gamma_n = \int d\mathbf{r} \Phi_n^{\dagger}(\mathbf{r}) \hat{\Psi}(\mathbf{r}) = \int d\mathbf{r} [u_{n,\uparrow}^*(\mathbf{r}) c_{\mathbf{r},\uparrow} + u_{n,\downarrow}^*(\mathbf{r}) c_{\mathbf{r},\downarrow} - v_{n,\uparrow}^*(\mathbf{r}) c_{\mathbf{r},\downarrow}^{\dagger} + v_{n,\downarrow}^*(\mathbf{r}) c_{\mathbf{r},\uparrow}^{\dagger}]$$

Majorana Fermions in Superconductors

$$\mathcal{H} = \frac{1}{2} \sum_{n} E_n \gamma_n^{\dagger} \gamma_n$$

$$\gamma_n = \int d\mathbf{r} \Phi_n^{\dagger}(\mathbf{r}) \hat{\Psi}(\mathbf{r}) = \int d\mathbf{r} [u_{n,\uparrow}^*(\mathbf{r}) c_{\mathbf{r},\uparrow} + u_{n,\downarrow}^*(\mathbf{r}) c_{\mathbf{r},\downarrow} - v_{n,\uparrow}^*(\mathbf{r}) c_{\mathbf{r},\downarrow}^{\dagger} + v_{n,\downarrow}^*(\mathbf{r}) c_{\mathbf{r},\uparrow}^{\dagger}]$$

Redundancy in the BdG Hamiltonian



 $\Phi_{E_m}(\mathbf{r}) = C\Phi_{E_n}^*(\mathbf{r})$ 

Majorana Fermions in Superconductors

$$\Phi_{E_m}(\mathbf{r}) = C\Phi_{E_n}^*(\mathbf{r})$$
$$\gamma_m^{\dagger} = \gamma_n$$
$$E_m = -E_n = E$$

 These symmetries originate from the Nambu spinor whose form is in turn dictated by the structure of the second quantized BdG Hamiltonian. BdG theory has Majorana character built in!

$$\hat{\Psi}(\mathbf{r}) = C\hat{\Psi}^*(\mathbf{r}) = \begin{pmatrix} \hat{\psi}(\mathbf{r}) \\ i\sigma^y \hat{\psi}^*(\mathbf{r}) \end{pmatrix}$$

 Note however that this Majorana property is fulfilled by the entire field operator <u>not</u> by the eigenmodes of well defined energy (<u>which is what we typically probe in an experiment</u>).



Majorana Zero Modes

$$\Phi_{0}(\mathbf{r}) = C\Phi_{0}^{*}(\mathbf{r})$$

$$\gamma_{0}^{\dagger} = \gamma_{0}$$

$$E_{0} = 0$$

$$\mathbf{\downarrow}$$

$$\int d\mathbf{r}[u^{*}(\mathbf{r})\mathbf{c} + u^{*}(\mathbf{r})\mathbf{c} + u^{*}(\mathbf{r})\mathbf{c}]$$

$$\gamma_0 = i \int d\mathbf{r} [u_{0,\uparrow}^*(\mathbf{r}) c_{\mathbf{r},\uparrow} + u_{0,\downarrow}^*(\mathbf{r}) c_{\mathbf{r},\downarrow} - u_{0,\downarrow}(\mathbf{r}) c_{\mathbf{r},\downarrow}^\dagger - u_{0,\uparrow}(\mathbf{r}) c_{\mathbf{r},\uparrow}^\dagger]$$
  
Which is clearly self-conjugate









### Majorana Fermions in Superconductors



### Majorana Fermions in Superconductors





Seminal result in field theory (Jackiw and Rossi, 1981): the Majorana equation in two dimensions has non-propagating solutions, namely **zero modes**, if the mass term has a **vortex** profile.

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$$\begin{pmatrix} \sigma \cdot p & m \\ m & -\sigma \cdot p \end{pmatrix} \begin{pmatrix} \psi \\ \phi \end{pmatrix} = i \partial_t \begin{pmatrix} \psi \\ \phi \end{pmatrix}$$

$$\sigma.p\psi + im(\vec{r})\sigma_y\psi^* = E\psi$$

**Vortex in 2D** 

For an introduction see: "Fractional and Majorana fermions", Jackiw, arXiv1104.4486

Dirac equation in Weyl representation (chiral spinors where the spin of a particle points in the same direction as its momentum)

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$$\begin{pmatrix} -m & i\partial_t - \boldsymbol{\sigma}\mathbf{p} \\ i\partial_t + \boldsymbol{\sigma}\mathbf{p} & -m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = 0,$$

$$\text{Impose charge conjugation} \quad \Psi^C = \Psi \\ (i\partial_t - \boldsymbol{\sigma}\mathbf{p})\psi_R - im\sigma_y\psi_R^* = 0 \\ (i\partial_t + \boldsymbol{\sigma}\mathbf{p})\psi_L - im\sigma_y\psi_L^* = 0$$

In the Majorana equation, chiralities become decoupled and **the mass term mixes particles and antiparticles** (technically, this implies that the equation is not gauge invariant, hence Majorana particles cannot be coupled to the electromagnetic field and are thus necessarily charge neutral). This is a generalisation of another seminal result by Jackiw and Rebbi (Phys. Rev. D **13**, 3398, 1976) which demonstrates the existence of zero energy bound states in the one dimensional Dirac equation at points where **the mass term changes sign**.

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$$\begin{bmatrix} -i\hbar c\partial_x \sigma_x + m(x)c^2 \sigma_z \end{bmatrix} \psi(x) = E\psi(x)$$

$$|\psi(x)|^2 \xrightarrow{\text{Zero mode}} m > 0$$

For an introduction see: "Fractional and Majorana fermions", Jackiw, arXiv1104.4486

The simplest nontrivial time-reversal breaking superconductor in
 2D is the spinless p+ip superconductor

$$H_{BdG} = \begin{pmatrix} \frac{p_x^2 + p_y^2}{2m} - \mu & -i\Delta(p_x - ip_y) \\ i\Delta^*(p_x + ip_y) & -\frac{p_x^2 + p_y^2}{2m} + \mu \end{pmatrix}$$

$$Low \text{ momentum}$$

$$H_{BdG} = \begin{pmatrix} -\mu & -i\Delta(p_x - ip_y) \\ i\Delta^*(p_x + ip_y) & \mu \end{pmatrix}$$

N. Read and D. Green, "Paired states of fermions in two dimensions with breaking of parity and time-reversal symmetries and the fractional quantum Hall effect". Phys. Rev. B **61**, 10267 (2000)

 The simplest nontrivial time-reversal breaking superconductor in 2D is the spinless p+ip superconductor

$$H_{BdG} = \begin{pmatrix} -\mu & -i\Delta(p_x - ip_y) \\ i\Delta^*(p_x + ip_y) & \mu \end{pmatrix}$$

### $H_{BdG}(p_y=0) = \Delta p_x \tau^y - \mu \tau^z$

One-dimensional massive Dirac Hamiltonian (with Pauli matrices defined in electron-hole space). As discussed by Jackiw and Rebbi (Phys. Rev. D **13**, 3398, 1976), this one-dimensional Dirac Hamiltonian contains **zero energy bound states** at interfaces where the mass term changes sign (which is our case as we cross the  $\mu = 0$  critical point)

The simplest nontrivial time-reversal breaking superconductor in
 2D is the spinless p+ip superconductor

$$H_{BdG} = \begin{pmatrix} -\mu(x) & -i\Delta(-i\partial_x - ip_y) \\ i\Delta^*(i\partial_x + ip_y) & \mu(x) \end{pmatrix}$$
$$\mu(x) = \begin{cases} -\mu_0 < 0, & \text{for } x < 0 \\ \mu_0 > 0, & \text{for } x > 0 \end{cases}$$
$$\Phi(x) \sim \exp\left[-\int_0^x dx' \frac{\mu(x')}{\Delta}\right] \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$
$$\gamma \sim \int dx \exp\left[-\int_0^x dx' \frac{\mu(x')}{\Delta}\right] \left[c(x) + c^{\dagger}(x)\right]$$

Zero energy bound states

•The simplest nontrivial time-reversal breaking superconductor in 2D is the spinless p+ip superconductor





n=0

n=1

Image from J. Alicea, "New directions in the pursuit of Majorana fermions in solid state systems" Rep. Prog. Phys. 75, 076501(2012).

### Two-dimensional p-wave superconductor



N. Read and D. Green, "Paired states of fermions in two dimensions with breaking of parity and time-reversal symmetries and the fractional quantum Hall effect". Phys. Rev. B **61**, 10267 (2000)



Kitaev, Phys.-Usp., 44, 131, 2001

$$H = -\mu \sum_{j=1}^{N} \left( c_{j}^{\dagger} c_{j} - \frac{1}{2} \right) + \sum_{j=1}^{N-1} \left[ -t \left( c_{j}^{\dagger} c_{j+1} + c_{j+1}^{\dagger} c_{j} \right) + \Delta \left( c_{j} c_{j+1} + c_{j+1}^{\dagger} c_{j}^{\dagger} \right) \right],$$

Id spinless chain with p-wave pairing















Kitaev, Phys.-Usp., 44, 131, 2001







Despite its innocent-looking form, this model is rather nontrivial:

- Majorana operators on the same site are now decoupled
- long range coupling is established since Majorana operators on neighbouring sites are now coupled.
- The Majorana operators at the end of chain seem to have disappeared from the problem...



N-1

 $H = it \sum \gamma_{i}^{B} \gamma_{i+1}^{A}.$ 





### The Kitaev model





GAPPED SPECTRUM+ZERO-ENERGY MAJORANAS AT THE END OF THE WIRE (DECOUPLED FROM THE BULK OF THE CHAIN)!!! Kitaev, Phys.-Usp., 44, 131, 2001

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Kitaev, Phys.-Usp., 44, 131, 2001

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The system is topologically non-trivial (topological superconductor) for

$$|\mu| < 2t$$



<u>Two Majorana quasiparticles</u> at the ends of a one dimensional <u>topological superconductor</u> form <u>a single electronic excitation</u> which can be arbitrarily delocalized.

$$-i\gamma_1\gamma_2 = (1 - 2c^{\dagger}c)$$



















J. Leinaas, J. Myrheim, Jan, "On the theory of identical particles" *Il Nuovo Cimento B.* **37,** 1, 1977 Frank Wilczek, "Quantum Mechanics of Fractional-Spin Particles", Phys. Rev. Lett. **49**, 957, 1982







 $|1\rangle = c^{\dagger}|0\rangle$ 

 $\gamma_1$   $\gamma_2$ 



Majorana zero modes encode ground state degeneracy

Majorana zero mode is "half" a Fermion

$$c = \frac{1}{2}(\gamma_1 + i\gamma_2)$$
$$c^{\dagger} = \frac{1}{2}(\gamma_1 - i\gamma_2)$$



Locally indistinguishable ground states Two fermionic parity ground states

|0|





Two fermionic parity ground states			
0,0 angle Even	$ 0,1 angle=c_{B}^{\dagger} 0,0 angle$ Odd		
$ 1,1\rangle=c_A^{\dagger}c_B^{\dagger} 0,0\rangle$	$\left  1,0\right\rangle =c_{A}^{\dagger } 0,0\rangle$		
$ \uparrow angle\equiv 0,0 angle$	$ \uparrow angle\equiv 1,0 angle$		
$ \downarrow angle\equiv 1,1 angle$	$ \downarrow angle\equiv 0,1 angle$		



We can define a Majorana qubit by fixing the parity (even or odd) of the total number of fermions and construct the three Pauli matrices using four Majorana zero modes.

Two fermionic parity ground states
$$|0,0\rangle$$
Even $|0,1\rangle = c_B^{\dagger}|0,0\rangle$ Odd $|1,1\rangle = c_A^{\dagger}c_B^{\dagger}|0,0\rangle$  $|1,0\rangle = c_A^{\dagger}|0,0\rangle$  $|1,0\rangle = c_A^{\dagger}|0,0\rangle$  $|\uparrow\rangle \equiv |0,0\rangle$  $|\uparrow\rangle \equiv |1,0\rangle$  $|\downarrow\rangle \equiv |0,1\rangle$ 

$$\sigma_x = -i\gamma_2\gamma_3$$
  
 $\sigma_y = -i\gamma_1\gamma_3$   
 $\sigma_z = -i\gamma_1\gamma_2,$ 

The qubit stores quantum information non-locally (through the spatially separated Majorana zero modes) which gives rise to the concept of topologically protected (fault-tolerant) quantum computation (inmune to local errors/decoherence)





Exchanging two Majorana zero modes generates occupancy dependent phase factors

$$U_{12}|0\rangle = \frac{1}{\sqrt{2}}(1+i)|0\rangle \qquad \qquad U_{12}|1\rangle = \frac{1}{\sqrt{2}}(1-i)|1\rangle$$



Majorana zero modes encode ground state degeneracy

		Two fermionic parity ground states		
$\gamma_{2}$	$\bigcirc \gamma_2$	0,0 angle Even	$ 0,1 angle=c_B^{\dagger} 0,0 angle$ Odd	
		$ 1,1\rangle = c_A^{\dagger} c_B^{\dagger}  0,0\rangle$	$\left   1,0\rangle = c_A^{\dagger}  0,0\rangle \right.$	
$\gamma_3$	$\gamma_3$	$ \uparrow angle\equiv 0,0 angle$	$ \uparrow angle\equiv 1,0 angle$	
		$ \downarrow angle\equiv 1,1 angle$	$ \downarrow angle\equiv 0,1 angle$	

$$U_{12}|n_1, n_2\rangle = e^{\frac{\pi}{4}(1-2n_1)}|n_1, n_2\rangle$$
$$U_{34}|n_1, n_2\rangle = e^{\frac{\pi}{4}(1-2n_2)}|n_1, n_2\rangle,$$

Occupation-dependent phase factors



 $\bigcup_{\substack{\gamma_{1} \\ \gamma_{1} \\ \gamma_{3} \\ \gamma_{3} \\ \gamma_{4} \\ \gamma_{4} \\ U_{23}|n_{1},n_{2}\rangle = \frac{1}{\sqrt{2}} [|n_{1},n_{2}\rangle + i(-1)^{n_{1}}|1 - n_{1},1 - n_{2}\rangle].$ 

- Majorana exchange produces a superposition state of **different number states.**
- Whenever two exchanges involve the same Majorana zero mode, the braid operators do not conmute.
- The final state of the system after exchanging several pairs of Majorana zero modes depends on the order of the exchange operations, much like braiding cords in a necklace, hence the name non-Abelian "braiding"

$$U_{23}|1,1\rangle = \frac{1}{\sqrt{2}} [|1,1\rangle - i|0,0\rangle].$$

$$[U_{n-1,n}U_{n,n+1}] = i\gamma_{n-1}\gamma_{n+1}.$$









- Non-Abelian anyons and topological quantum computation, Chetan Nayak, Steven H. Simon, Ady Stern, Michael Freedman, and Sankar Das Sarma, Rev. Mod. Phys. 80, 1083, 2008
- Non-Abelian states of matter, Ady Stern,Nature 464, 187, 2010
- Majorana zero modes and topological quantum computation, Sankar Das Sarma, Michael Freedman and Chetan Nayak, Npj Quantum Information, (2015) 15001.





Challenging experimentally:

electrons are spin-degenerate so we must freeze out half of the degrees of freedom to have an effective spinless system.
p-wave superconductors are very rare in nature.

Clever proposals that overcome these challenges have the same three main ingredients:

1.Instead of using intrinsic superconductivity use<br/>the superconducting proximity effect.Allows to use<br/>standard<br/>materials!!!2.Time-reversal symmetry breaking<br/>3.Spin-orbit coupling.Image: Coupling intrinsic superconductivity use<br/>standard<br/>materials!!!

Physical realisations for engineering p-wave topological superconductors





Jason Alicea and Ady Stern, "Designer non-Abelian anyon platforms: from Majorana to Fibonacci", Physica. Scripta. **2015**, 014006, 2015