## **Electronic correlations in Hund metals**

E. Bascones

#### Instituto de Ciencia de Materiales de Madrid





### Laura Fanfarillo ICMM-CSIC





MINISTERIO DE ECONOMÍA Y COMPETITIVIDAD

### Outline

- □ Introduction:
  - \* Mott physics and Quasiparticle weight: basic notions
  - \* Iron superconductors as Hund metals
  - \* The role of Hund's coupling in correlations. Hund vs Mott
- Correlations in Multi-orbital systems
  - \* Quasiparticle weigth vs interactions, filling and number of orbitals
  - \* Charge and spin fluctuations
  - \* Simple understanding of correlations in Hund metals

**G** Summary



#### Metals and Insulators in band theory. Independent electrons



Metallicity in clean systems Bands crossing the Fermi level (finite DOS)

4.0 3.0 Fig: Calderón et al, PRB, 80, 094531 (2009) 2.0 1.0 Insulating behaviour 0.0 -1.0 in clean systems -2.0-3.0**Bands below** -4.0-5.0Fermi level filled -6.0-7.0М Х Fig: Hess & Serene, PRB 59, 15167 (1999)

E. Bascones Ieni@icmm.csic.es



Metals and Insulators in band theory. Independent electrons

### Spin degeneracy: Each band can hold 2 electrons per unit cell





#### Failure of independent electron picture. Mott insulators



Electron counting La<sub>2</sub>CuO<sub>4</sub>: 2 La (57x2)+Cu (29) + 4 O (4x8) =175 electrons

#### **Metallic behavior expected**

Fig: Pickett, RMP 61, 433 (1989)



#### Failure of independent electron picture. Mott insulators



Electron counting La<sub>2</sub>CuO<sub>4</sub>: 2 La (57x2)+Cu (29) + 4 O (4x8) =175 electrons Metallic behavior expected but Insulating behavior is found

Fig: Pickett, RMP 61, 433 (1989)

#### Mott insulator:

Insulating behavior due to electron-electron interactions Breakdown of independent electron picture



Mott insulators. The single-orbital Hubbard model at half-filling

$$H = \sum_{i,j,'} t c^{\dagger}_{i,\dots,\sigma} c_{j,\dots,\sigma} + h.c. + U \sum_{j,\dots,\uparrow} n_{j,\dots,\uparrow} n_{j,\dots,\uparrow} n_{j,\dots,\downarrow}$$
  
Intra-orbital repulsion

Atomic lattice with a single orbital per site and average occupancy 1 (half filling)





#### Mott insulators. The Hubbard model at half-filling

$$H = \sum_{i,j,'} t c^{\dagger}_{i,..,\sigma} c_{j,-\sigma} + h.c. + U \sum_{j,} n_{j,..,\uparrow} n_{j,..,\uparrow} n_{j,..,\downarrow}$$
  
Intra-orbital repulsion

Atomic lattice with a single orbital per site and average occupancy 1 (half filling)



But away from half-filling the system is always metallic



### The quasiparticle weight in the single-orbital Hubbard model

Z: a way to quantify the correlations

 $0 \le Z \le 1$ 

Simple description: Heavy electron

 $Z^{-1} \propto m^*/m$ 

- Z=1 Single-particle picture
- Z=0 There are no quasiparticles Breakdown of single-particle picture



#### Charge and spin fluctuations in the single-orbital Hubbard model

**Localization** 

 $n = \langle n \rangle + \delta n$   $C_{T} = \langle n^{2} \rangle - \langle n \rangle^{2} \langle \delta n \rangle^{2} \rangle$ 

$$C_{S} =  -$$

C<sub>s</sub> larger when atoms are spin polarized even if there is no long range order





### High-Tc superconductors cuprates are doped Mott insulators



Fig: Nature 464,183 (2010)

### Iron superconductors: metallic when "undoped"





Fig: Nature 464,183 (2010)



### Iron superconductors: metallic when "undoped"



Fig: Nature 464,183 (2010)

### Iron superconductors: metallic when "undoped"



Multi-orbital system: 6 electrons in 5 orbitals

- Correlated metal m\*/m ~ 3 (Z ~0.33)
- Far from a Mott transition U/W < 1</li>
   (U interorbital repulsion, W bandwidth)
- Hund's coupling plays a key role in the correlations

Iron superconductors as Hund metals

Fig: Nature 464,183 (2010)



### Correlations in Hund metals and iron superconductors: Hund vs Mott

#### Iron superconductors as Hund metals

- Correlations driven by Hund J<sub>H</sub> weakly dependent on U,
- Not in proximity to a Mott insulator
- Properties essentially different to a doped Mott insulator



#### Haule & Kotliar NJP 11,025021 (2009)



fraction

ICMM

CSIC

#### Iron superconductors as doped Mott insulators

#### Multi-orbital systems





Kamihara et al, JACS, 130, 3296 (2008).

Vildosola et al, PRB 78, 064518 (2008)

ICMM CSIC

Fe

As

### Hubbard-Kanamori Hamiltonian for multi-orbital systems

$$\begin{split} H &= \sum_{i,j,\gamma,\beta,\sigma} t_{i,j}^{\gamma,\beta} c_{i,\gamma,\sigma}^{\dagger} c_{j,\beta,\sigma} + h.c. + U \sum_{j,\gamma} n_{j,\gamma,\uparrow} n_{j,\gamma,\downarrow} \\ \text{Intra-orbital} \\ &+ \left( U' - \frac{J_{\scriptscriptstyle \rm H}}{2} \right) \sum_{j,\gamma>\beta,\sigma,\tilde{\sigma}} n_{j,\gamma,\sigma} n_{j,\beta,\tilde{\sigma}} - 2J_{\scriptscriptstyle \rm H} \sum_{j,\gamma>\beta} \vec{S}_{j,\gamma} \vec{S}_{j,\beta} \\ &+ J' \sum_{j,\gamma\neq\beta} c_{j,\gamma,\uparrow}^{\dagger} c_{j,\gamma,\downarrow}^{\dagger} c_{j,\beta,\downarrow} c_{j,\beta,\uparrow} + \sum_{j,\gamma,\sigma} \epsilon_{\gamma} n_{j,\gamma,\sigma} . \\ &+ J' \sum_{j,\gamma\neq\beta} c_{j,\gamma,\uparrow}^{\dagger} c_{j,\gamma,\downarrow}^{\dagger} c_{j,\beta,\downarrow} c_{j,\beta,\uparrow} + \sum_{j,\gamma,\sigma} \epsilon_{\gamma} n_{j,\gamma,\sigma} . \\ &\text{Two interaction parameters: U, } J_{\rm H} \end{split}$$

#### Hubbard-Kanamori Hamiltonian: Interaction terms





#### Hubbard-Kanamori Hamiltonian: Interaction terms





#### Hubbard-Kanamori Hamiltonian: Interaction terms



$$U'=U-2J_H$$



### Mott transition in multi-orbital systems at Zero Hund



Effective kinetic energy larger due to orbital degeneracy

### Mott transition in multi-orbital systems at Finite Hund



#### De'Medici PRB 83, 205112 (2011)



### Mott transition in multi-orbital systems at Finite Hund



#### Examples:

- \* iron superconductors 6 electrons in 5 orbitals
- \* ruthenates 4 electrons in 3 orbitals
- \* SrCrO<sub>3</sub> 2 electrons in 3 orbitals



### Hund's coupling and bad metallicity

#### 3 orbital system

DMFT



#### n=1 electron or 1 hole

- J<sub>H</sub> promotes metallicity
- Uc increases
- Quasiparticle weight increases

#### De'Medici et al PRL 107, 255701 (2011)

#### n=half-filling

# J<sub>H</sub> promotes insulating behavior

Uc increases

Z decreases



### Hund's coupling and bad metallicity





### Hund metals and spin freezing

#### J=0 J=0.05U 0.8 J=0.10U J=0.15U J=0.20U 0.6 J=0.25U N 0.4 0.2 0 2 6 8 10 0 4 U/D

DMFT

Iron superconductors **DMFT** 3.0 а  $J_{Hund} = 0$  $\chi_{loc} \left( 10^{-3} emu/mol 
ight)$ •  $J_{Hund} = 0.35$  $J_{Hund} = 0.4$ V  $I_{Hund} = 0.7$ 0.0L Haule & Kotliar 100 200 300 T(K)NJP 11,025021 (2009)

n ≠ 1 electron ,1 hole, half-filling

3 orbital system

J<sub>H</sub> promotes bad metallic

Behavior (correlated metal)

Large Uc but small Z

#### De'Medici et al PRL 107, 255701 (2011)



### Hund metals and spin freezing

#### 3 orbital system DMFT



n ≠ 1 electron ,1 hole, half-filling

J<sub>H</sub> promotes bad metallic

Behavior (correlated metal)

Large Uc but small Z

#### De'Medici et al PRL 107, 255701 (2011)



#### Hund metals

- Correlations driven by Hund J<sub>H</sub> weakly dependent on U,
- Not in proximity to a Mott insulator

- Properties essentially different to a doped Mott insulator (wide Hubbard bands)



### Doping dependence in Hund metals





### Correlations in Hund metals: Hund vs Mott

#### Hundness as oppossed to Mottness

- Correlations driven by Hund J<sub>H</sub> weakly dependent on U,
- Not in proximity to a Mott insulator
- Properties essentially different to a doped Mott insulator



Haule & Kotliar NJP 11,025021 (2009) Georges et al, Ann. Rev. Cond. Matt. Phys. 4,137 (2013)



، الم

Hund metals as doped Mott insulators

Correlations controlled by the **proximity in doping** to a Mott insulating state

Werner et al PRL 101, 166405 (2008) Ishida & Liebsch, PRB 81, 054513 (2010)



### Summary

□ Single-orbital Hubbard model:

- \* Mott transition at half-filling U>>t (U/W ~1.5)
- \* Away from half-filling or for U<U<sub>c</sub>: correlated metal. Suppression of Quasiparticle weight Z and Charge fluctuations & enhancement of spin fluctuations

Multi-orbital Hubbard-Kanamori Hamiltonian:

- \* Mott transition at all integer filling.  $U_c$  depends on  $J_H$  and filling n
- \*  $J_{H}$  promotes metallic behavior for n=1 electron or 1 hole. Z and  $U_{c}$  increase.
- \*  $\rm J_{H}$  promotes insulating behavior at half-filling. Z and  $\rm U_{c}~$  decrease.
- \* J<sub>H</sub> promotes bad metallic behavior for n≠1 electron, 1 hole, half-filling. Hund metal
   U<sub>c</sub> non-monotonic, but increases at large J<sub>H</sub>
   Z small. Coherent-incoherent crossover with temperature. Spin freezing
   Dependence on doping. Hund vs Mott ?



The model: Degenerate orbitals with density-density interactions

□ Multi-orbital systems with N orbitals (N=2-5) and n electrons (half-filling n=N)

Equivalent orbitals: No crystal field splitting or hybridization between orbitals Hopping to 1st nearest neighbors equal for all the orbitals Non-interacting bandwidth W (2D but generic results)



 $U'=U-2J_H \longrightarrow U, J_H$  two interaction parameters max  $J_H/U=1/3$ 

□ Hamilonian solved with Slave Spin Technique: Z, Charge & Spin fluctuations



6 electrons in 5 orbitals, as in "undoped" iron superconductors (or 4 electrons in 5 orbitals)

Particle-hole symmetry with respect to half-filling

Quasiparticle weight, colour plot







3 electrones en 4 orbitales, x=0.75





3 electrones en 5 orbitales, x=0.60



6 electrons in 5 orbitals,



#### L. Fanfarillo, EB arXiv:1501.04607



0.3

6 electrons in 5 orbitals,



#### The quasiparticle weight as a function of doping



E. Bascones leni@icmm.csic.es

### The quasiparticle weight as a function of doping

#### 6 electrons in 5 orbitals,





### Spin fluctuations

- Single-orbital: Suppression of Z concomitant with the enhancement of spin fluctuations



### Charge fluctuations

- Single-orbital: Suppression of Z concomitant with the suppression of charge fluctuations

Mott physics, small Z — Localization



### Charge fluctuations

- Single-orbital: Suppression of Z concomitant with the suppression of charge fluctuations

Mott physics, small Z -----> Localization

- Multi-orbital: Suppression of Z due to Hund's coupling is not always accompanied by the suppression of charge fluctuations

Hund correlations

Not always localization, sometimes increases itinerancy

#### Hund correlations not equal to Mott correlations





### Charge fluctuations



#### Itinerancy

Weaker suppression of charge fluctuations for a given Z, as compared to a single-orbital system or small Hund's coupling

 <sup>.8</sup> Different dependence
 <sup>.6</sup> of charge fluctuations
 <sup>.4</sup> and quasiparticle weight Z on interactions



N orbitals

n electrons



Atomic gap: E(n+1)+E(n-1)- 2E(n) vs Kinetic Energy

2 spin polarized atoms



#### Hopping processes



Spin parallel hopping to an empty orbital

$$E^{\uparrow\uparrow} = U - 3J_H$$



Spin anti-parallel hopping to an empty orbital

$$E^{inter\uparrow\downarrow} = U + (n-3)J_H$$



Spin anti-parallel hopping to an occupied orbital

$$E^{intra\uparrow\downarrow} = U + (n-1)J_H$$



#### Hopping processes



Spin parallel hopping to an empty orbital

$$E^{\uparrow\uparrow} = U - 3J_H$$

Responsible for the Mott transition away from half-filling



Spin anti-parallel hopping to an empty orbital

$$E^{inter\uparrow\downarrow} = U + (n-3)J_H$$



 $E^{intra\uparrow\downarrow} = U + (n-1)J_H$ 

**Responsible for the Mott transition at half-filling** 















ICMM

CSIC

### Summary: Strong influence of Hund's coupling on electronic correlations







Hund metal with small Qp weight Z: strong correlations



Suppression of Qp weight connected to half-filling Mott insulator

Enhancement of spin fluctuations (atoms polarized)





Behavior understood in terms of which hopping processes are promoted or suppressed by Hund's coupling

#### **Revisit oxides and other materials**

Charge fluctuations do not follow the behavior expected in Mott systems



Correlated metals. The quasiparticle weight (Fermi liquid description)

 $\Box$  Write the electron  $C^{\dagger}_{k\sigma}$  in terms of the excitations of the interacting system  $a^{\dagger}_{k\sigma}$ Same charge, momentum & spin

$$c^{\dagger}_{\mathbf{k}\sigma} = \sqrt{Z_{\mathbf{k}}}a^{\dagger}_{\mathbf{k}\sigma} + \sum_{\mathbf{k}_{4}+\mathbf{k}_{3}=\mathbf{k}_{2}+\mathbf{k}}A(\mathbf{k}_{4}\sigma_{4}, \mathbf{k}_{3}\sigma_{3}; \mathbf{k}_{2}\sigma_{2}, \mathbf{k}\sigma)a^{\dagger}_{\mathbf{k}_{4}\sigma_{4}}a^{\dagger}_{\mathbf{k}_{3}\sigma_{3}}a_{\mathbf{k}_{2}\sigma_{2}} + \dots$$
higher order  
higher order  
decay processes

of  $C^{\dagger}_{k\sigma} | \Psi *_{g} >$ makes sense if  $Z_{k}$  is finite

$$Z_{k} = |\langle \Psi *_{g} | a_{k\sigma} c^{\dagger}_{k\sigma} | \Psi *_{g} \rangle|^{2} > 0$$

Overlap between the elementary excitations of the interacting and non-interacting system

#### Quasiparticle weight

A way to quantify the correlations

See Coleman's book





E. Bascones leni@icmm.csic.es

#### The quasiparticle weight as a function of doping





#### Charge correlations in Hund metals





#### Spin fluctuations in Hund metals



The spin fluctuations are larger in the metal. U enhances the tendency to localization.



### Spin fluctuations in Hund metals



# Atomic moments are formed in the



$$H = U \sum_{m} \hat{n}_{m\uparrow} \hat{n}_{m\downarrow} + U' \sum_{m \neq m'} \hat{n}_{m\uparrow} \hat{n}_{m'\downarrow} + (U' - J_{_{H}}) \sum_{m < m', \sigma} \hat{n}_{m\sigma} \hat{n}_{m'\sigma}$$

$$n_{m\sigma} = d^{\dagger}_{m\sigma} d_{m\sigma}$$

$$Physical states$$

$$d_{i\sigma} \qquad \qquad Auxiliary fermion \qquad f_{i\sigma} \qquad |n_{i\sigma}^{d} = 1\rangle \Leftrightarrow |n_{i\sigma}^{f} = 1, \quad S_{i\sigma}^{z} = +1/2\rangle,$$

$$Pseudospin variable \quad S_{i\sigma} \qquad |n_{i\sigma}^{d} = 0\rangle \Leftrightarrow |n_{i\sigma}^{f} = 0, \quad S_{i\sigma}^{z} = -1/2\rangle.$$

**Unphysical states** 

Constraint

$$\begin{array}{ccc} |n_{i\sigma}^{f}=0, \ S_{i\sigma}^{z}=+1/2\rangle \\ |n_{i\sigma}^{f}=1, \ S_{i\sigma}^{z}=-1/2\rangle \end{array} \longrightarrow f_{i\sigma}^{\dagger}f_{i\sigma}=S_{i\sigma}^{z}+\frac{1}{2} \end{array}$$

de Medici et al, PRB 72, 205124 (2005) Hassan & de Medici, PRB 81, 035106 (2010)

$$d_{i\sigma} = f_{i\sigma}O_{i\sigma}, \quad d_{i\sigma}^{\dagger} = f_{i\sigma}^{\dagger}O_{i\sigma}^{\dagger}$$
 For non-

For non- diagonal operators

$$O_{i\sigma} = \begin{pmatrix} 0 & c_{i\sigma} \\ 1 & 0 \end{pmatrix} \longrightarrow c = \frac{1}{\sqrt{n(1-n)}} - 1.$$

$$H_0 = -\sum_m t_m \sum_{\langle ij \rangle,\sigma} O^{\dagger}_{im\sigma} O_{jm\sigma} (f^{\dagger}_{im\sigma} f_{jm\sigma} + h.c) + \sum_{i,m\sigma} (\epsilon_m - \mu) f^{\dagger}_{im\sigma} f_{im\sigma}$$

$$\frac{U}{2} \sum_{i} \left( \sum_{m,\sigma} S_{im\sigma}^z \right)^2 + \frac{U'}{2} \sum_{i} (\sum_{m,\sigma} S_{im\sigma}^z)^2 + J \sum_{i,m} (\sum_{\sigma} S_{im\sigma}^z)^2 - \frac{J}{2} \sum_{i,\sigma} (\sum_{m} S_{im\sigma}^$$

$$H_{int}[\{\vec{S}_{im\sigma}\}]$$

de Medici et al, PRB 72, 205124 (2005) Hassan & de Medici, PRB 81, 035106 (2010)

- Constraint treated on average with static and site independent Lagrange multiplier  $\lambda_m$ . Spin variables and auxiliary fermions are decoupled

$$H_{eff}^{f} = -\sum_{m} t_{m}^{eff} \sum_{\langle ij \rangle,\sigma} (f_{im\sigma}^{\dagger} f_{jm\sigma} + h.c.) + \sum_{i,m\sigma} (\epsilon_{m} - \mu - \lambda_{m}) f_{im\sigma}^{\dagger} f_{im\sigma}$$

$$H_{eff}^{S} = -\sum_{m} J_{m}^{eff} \sum_{\langle ij \rangle, \sigma} O^{\dagger}_{im\sigma} O_{jm\sigma}$$

$$+\sum_{i,m\sigma}\lambda_m(S^z_{im\sigma}+\frac{1}{2})+H_{int}[\{\vec{S}_{im\sigma}\}]$$

$$t_{m}^{eff} = t_{m} < O^{\dagger}_{im\sigma}O_{jm\sigma} > J_{m}^{eff} = t_{m} \langle f_{im\sigma}^{\dagger}f_{jm\sigma} + f_{jm\sigma}^{\dagger}f_{im\sigma} \rangle$$

de Medici et al, PRB 72, 205124 (2005) Hassan & de Medici, PRB 81, 035106 (2010)

- Spin hamiltonian treated at a single site mean field level

$$H_{eff}^{f} = \sum_{\mathbf{k},m\sigma} (Z_{m}\epsilon_{\mathbf{k}m} + \epsilon_{m} - \mu - \lambda_{m}) f_{\mathbf{k}m\sigma}^{\dagger} f_{\mathbf{k}m\sigma}$$

$$H_s = \sum_{m\sigma} h_m \operatorname{O}_{m\sigma}^{\dagger} + \sum_{m\sigma} \lambda_m (S_{m\sigma}^z + \frac{1}{2}) + H_{int} [\vec{S}_{m\sigma}]$$

$$h_m \equiv <0_{\rm im\sigma} > \frac{1}{\mathcal{N}} \sum_{\mathbf{k}} \epsilon_{\mathbf{k}m} \langle f_{\mathbf{k}m\sigma}^{\dagger} f_{\mathbf{k}m\sigma} \rangle$$

$$Z_m = <0^{\dagger}_{im\sigma} >^2$$

- Solve self-consistently both coupled equations to calculate  $\lambda_m,\,h_m,\,Z_m$ 

de Medici et al, PRB 72, 205124 (2005) Hassan & de Medici, PRB 81, 035106 (2010)